

On Categorical Models of Go

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In this lecture

- ▶ We shall talk about the first categorical model of *Gol*.
- ▶ We will consider *Gol* 1 (Girard 1989) for MELL.
- ▶ I shall follow the paper: Haghverdi & Scott, *A Categorical Model for Gol*, ICALP 2004 and TCS 2006.
- ▶ We emphasize the notion of categorical *trace*.

A critique of reductionism

G. Frege (1848-1925): In *Function und Begriff*, 1891.

- ▶ Sinn/Bedeutung
sense/denotation
- ▶ The sense constitutes the particular way in which its denotation (reference) is given to one who grasps the thought.
- ▶ $2 + 3 = 5$
- ▶ sense/denotation
dynamic/static

Example

$$\frac{A \vdash A \quad A \vdash A}{A \vdash A} \succ A \vdash A$$

- ▶ $id_A \circ id_A = id_A$
- ▶ More generally, Π, Π' proofs of $\Gamma \vdash A$, $\Pi \succ \Pi'$.
- ▶ Then

$$\llbracket \Pi \rrbracket = \llbracket \Pi' \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket.$$

- ▶ A *static* view!
- ▶ GoI offers a dynamic semantics.
- ▶ Syntax carries irrelevant information.

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- ▶ Gentzen's cut elimination theorem
- ▶ Theorem (Cut Elimination (Hauptsatz))

(Gentzen, 1934)

If Π is a proof of a sequent $\Gamma \vdash A$, then there is a proof Π' of the same sequent which does not use the cut rule.

$$\frac{\Gamma \vdash A \quad A, \Delta \vdash B}{\Gamma, \Delta \vdash B} \text{ (cut rule)}$$

Girard's Implementation (System \mathcal{F})

- | | | |
|---|--------------------|--|
| Π | \rightsquigarrow | (u, σ) |
| a proof of
second order LL
(no additives) | | a pair of partial
symmetries in $\mathbb{B}(\mathcal{H})$ |
- ▶ Dynamics = elimination of cuts (σ) using

$$EX(u, \sigma) = (1 - \sigma^2) \sum_{n \geq 0} u(\sigma u)^n (1 - \sigma^2)$$

▶ Theorem (Girard, 1987)

(i) If (u, σ) is the interpretation of a proof Π of a sequent $\vdash [\Delta], \Gamma$, then σu is nilpotent.

(ii) if Γ does not use the symbols “?” or “ \exists ”, then the interpretation is sound.

- ▶ strong normalisation \leftrightarrow nilpotency

Back to our example

$$\frac{\vdash A, A^\perp \quad \vdash A, A^\perp}{\vdash [A^\perp, A], A, A^\perp} \succ \quad \vdash A, A^\perp$$

- ▶ proofs as matrices on $\mathcal{M}_{2m+n}(\mathbb{B}(\ell^2))$

- ▶ $u = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \quad \sigma = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$

- ▶ Dynamics: $EX(u, \sigma) = (1 - \sigma^2)(u + u\sigma u)(1 - \sigma^2) =$

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

The big picture

proof \rightsquigarrow algorithm

cut-elim. \downarrow \downarrow computation

cut-free proof \rightsquigarrow datum

$\Pi \rightsquigarrow \theta(\Pi)$

cut-elim. \downarrow \downarrow computation

$\Pi' \rightsquigarrow \theta(\Pi') = EX(\theta(\Pi), \sigma)$

Wish list!

- ▶ Connect to other areas in mathematics to draw on their techniques:
 - ▶ Knot Theory: view proofs as knots, use knot invariants.
 - ▶ Algebraic Topology: view proofs as topological spaces, use homology.

Examples abound: Mulmuley (Alg. Geometry and Geometric Invariant Theory)

J. Friedman: Grothendieck topology

Combinatorics,...

- ▶ Study **logical complexity** using GoI tools.
 - ▶ PTIME, LOGSPACE.
 - ▶ BLL (GSS), ELL and LLL (Girard).

A Brief History, *with apologies*

- ▶ Gol 2 (1988): Deadlock-free algorithms, Recursion
- ▶ Gol 3 (1995): Additives
- ▶ Gol 4 (2003): The feedback equation
- ▶ Gol 5 (2008): The hyperfinite factor
- ▶ Danos (1990): Untyped Lambda Calculus
- ▶ Danos, Regnier, Malacaria, Mackie : Path-based Semantics
- ▶ Logical complexity related work, optimal lambda reduction, etc

- ▶ Abramsky & Jagadeesan (1994): Categorical interpretation using Domain Theory, Feedback in dataflow networks
- ▶ Abramsky (1997): GoI Situation, Abramsky's Program
- ▶ Haghverdi (PhD, 2000): UDC based (particle style) GoI Situation and more, including path-based semantics
- ▶ Abramsky, Haghverdi and Scott (2002): GoI Situation to CA
- ▶ Haghverdi, Scott (2004,2006): Categorical models
- ▶ Haghverdi, Scott (2005,2009): Typed GoI
- ▶ Hines (1997): Self-similarity, inverse semigroups

Definition (Kuros,Higgs,Manes,Arbib,Benson)

(M, Σ) , where M is a nonempty set and Σ is a partial operation on countable families in M . $\{x_i\}_{i \in I}$ is *summable* if $\Sigma_{i \in I} x_i$ is defined subject to:

- ▶ *Partition-Associativity*: $\{x_i\}_{i \in I}$ and $\{I_j\}_{j \in J}$ a countable partition of I

$$\Sigma_{i \in I} x_i = \Sigma_{j \in J} (\Sigma_{i \in I_j} x_i).$$

- ▶ *Unary sum*: $\Sigma_{i \in \{j\}} x_i = x_j$.

Facts about Σ -Monoids

- ▶ $\sum_{i \in \emptyset} x_i$ exists and is denoted by 0. It is a countable additive identity.
- ▶ Sum is commutative and associative whenever defined.
- ▶ $\sum_{i \in I} x_{\varphi(i)}$ is defined for any permutation φ of I , whenever $\sum_{i \in I} x_i$ exists.
- ▶ There are **no** additive inverses: $x + y = 0$ implies $x = y = 0$.

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- ▶ $M = \text{PInj}(X, Y)$, the set of partial injective functions.

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- ▶ $(\sum_I f_i)(x) = \begin{cases} f_j(x) & \text{if } x \in Dom(f_j) \text{ for some } j \in I \\ \text{undefined} & \text{otherwise.} \end{cases}$

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- ▶ $(\sum_I f_i)(x)$ as above.

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- ▶ $\Sigma_i R_i = \bigcup_i R_i$.
- ▶ $M =$ countably complete poset, $\Sigma = \text{sup}$.

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- ▶ Suppose x, y, z are in this family, with $x \leq z, y \leq z$ and x, y incomparable, then
- ▶ $x + (y + z)$ is defined but $(x + y) + z$ is not defined.

Unique Decomposition Categories (UDCs)

Definition

A *unique decomposition category* \mathbb{C} is a symmetric monoidal category where:

- ▶ Every homset is a Σ -Monoid
- ▶ Composition distributes over sum (careful!)

satisfying the axiom:

(A) For all $j \in I$

- ▶ *quasi injection*: $\iota_j : X_j \longrightarrow \otimes_I X_i$,
- ▶ *quasi projection*: $\rho_j : \otimes_I X_i \longrightarrow X_j$,

such that

- ▶ $\rho_k \iota_j = 1_{X_j}$ if $j = k$ and $0_{X_j X_k}$ otherwise.
- ▶ $\sum_{i \in I} \iota_i \rho_i = 1_{\otimes_I X_i}$.

A Proposition

Proposition (Matricial Representation)

For $f : \otimes_J X_j \longrightarrow \otimes_I Y_i$, there exists a unique family $\{f_{ij}\}_{i \in I, j \in J} : X_j \longrightarrow Y_i$ with $f = \sum_{i \in I, j \in J} \iota_i f_{ij} \rho_j$, namely, $f_{ij} = \rho_i f \iota_j$.

In particular, for $|I| = m, |J| = n$

$$f = \begin{bmatrix} f_{11} & \cdots & f_{1n} \\ \vdots & \vdots & \vdots \\ f_{m1} & \cdots & f_{mn} \end{bmatrix}$$

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 $\rho_j(x, i)$ is undefined for $i \neq j$ and $\rho_j(x, j) = x$,
- ▶ $\iota_j : X_j \longrightarrow \otimes_{i \in I} X_i$ by $\iota_j(x) = (x, j)$.

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Rel: The category of sets and binary relations.

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- ▶ $\rho_j : \otimes_{i \in I} X_i \longrightarrow X_j$,
 $\rho_j = \{((x, j), x) \mid x \in X_j\}$
- ▶ $\iota_j : X_j \longrightarrow \otimes_{i \in I} X_i$,
 $\iota_j = \{(x, (x, j)) \mid x \in X_j\} = \rho_j^{op}$.

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- ▶ Given a set X ,
- ▶ $\ell_2(X)$: the set of all complex valued functions a on X for which the (unordered) sum $\sum_{x \in X} |a(x)|^2$ is finite.
- ▶ $\ell_2(X)$ is a Hilbert space
- ▶ $\|a\| = (\sum_{x \in X} |a(x)|^2)^{1/2}$
- ▶ $\langle a, b \rangle = \sum_{x \in X} a(x)\overline{b(x)}$ for $a, b \in \ell_2(X)$

- ▶ Barr's ℓ_2 functor: contravariant faithful functor

$$\ell_2 : \mathit{PInj}^{op} \longrightarrow \mathit{Hilb}$$

where Hilb is the category of Hilbert spaces and linear contractions (norm ≤ 1).

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1. For a set X , $l_2(X)$ is defined as above
2. Given $f : X \longrightarrow Y$ in PInj , $l_2(f) : l_2(Y) \longrightarrow l_2(X)$ is defined by

$$l_2(f)(b)(x) = \begin{cases} b(f(x)) & \text{if } x \in \mathit{Dom}(f), \\ 0 & \text{otherwise.} \end{cases}$$

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- ▶ $l_2(X \uplus Y) \cong l_2(X) \oplus l_2(Y)$

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- ▶ Arrows: $u : \ell_2(X) \longrightarrow \ell_2(Y)$ is of the form $\ell_2(f)$ for some partial injective function $f : Y \longrightarrow X$

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- ▶ For $\ell_2(X)$ and $\ell_2(Y)$ in Hilb_2 , the Hilbert space tensor product $\ell_2(X) \otimes \ell_2(Y)$ yields a tensor product in Hilb_2 .

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- ▶ For $\ell_2(X)$ and $\ell_2(Y)$ in Hilb_2 , the Hilbert space tensor product $\ell_2(X) \otimes \ell_2(Y)$ yields a tensor product in Hilb_2 .
- ▶ Similarly for $\ell_2(X)$ and $\ell_2(Y)$ in Hilb_2 , the direct sum $\ell_2(X) \oplus \ell_2(Y)$ yields a tensor product (*not* a coproduct) in Hilb_2 .

The structure on Plnj makes Hilb_2 into a UDC.

- ▶ $\{\ell_2(f_i)\}_I \in \text{Hilb}_2(\ell_2(X), \ell_2(Y))$, $\{f_i\} \in \text{Plnj}(Y, X)$, $\{\ell_2(f_i)\}$ is summable if $\{f_i\}$ is summable in Plnj
- ▶ $\sum_i \ell_2(f_i) \stackrel{\text{def}}{=} \ell_2(\sum_i f_i)$.

Definition

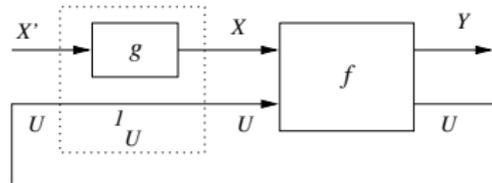
A *traced symmetric monoidal category* is a symmetric monoidal category $(\mathbb{C}, \otimes, I, s)$ with a family of functions

$Tr_{X,Y}^U : \mathbb{C}(X \otimes U, Y \otimes U) \longrightarrow \mathbb{C}(X, Y)$ called a *trace*, subject to the following axioms:

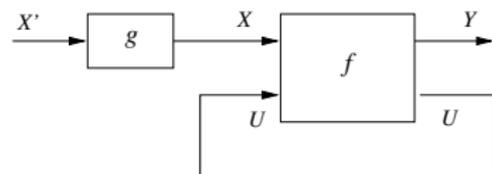
- ▶ **Natural** in X , $Tr_{X,Y}^U(f)g = Tr_{X',Y}^U(f(g \otimes 1_U))$ where $f : X \otimes U \longrightarrow Y \otimes U$, $g : X' \longrightarrow X$,
- ▶ **Natural** in Y , $gTr_{X,Y}^U(f) = Tr_{X,Y'}^U((g \otimes 1_U)f)$ where $f : X \otimes U \longrightarrow Y \otimes U$, $g : Y \longrightarrow Y'$,

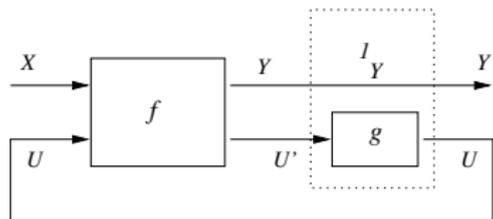
- ▶ **Dinatural** in U , $Tr_{X,Y}^U((1_Y \otimes g)f) = Tr_{X,Y}^{U'}(f(1_X \otimes g))$ where $f : X \otimes U \rightarrow Y \otimes U'$, $g : U' \rightarrow U$,
- ▶ **Vanishing (I,II)**, $Tr_{X,Y}^I(f) = f$ and $Tr_{X,Y}^{U \otimes V}(g) = Tr_{X,Y}^U(Tr_{X \otimes U, Y \otimes U}^V(g))$ for $f : X \otimes I \rightarrow Y \otimes I$ and $g : X \otimes U \otimes V \rightarrow Y \otimes U \otimes V$,
- ▶ **Superposing**, $Tr_{X,Y}^U(f) \otimes g = Tr_{X \otimes W, Y \otimes Z}^U((1_Y \otimes s_{U,Z})(f \otimes g)(1_X \otimes s_{W,U}))$ for $f : X \otimes U \rightarrow Y \otimes U$ and $g : W \rightarrow Z$,
- ▶ **Yanking**, $Tr_{U,U}^U(s_{U,U}) = 1_U$.

Graphical Representation

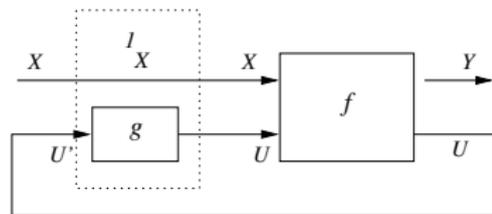


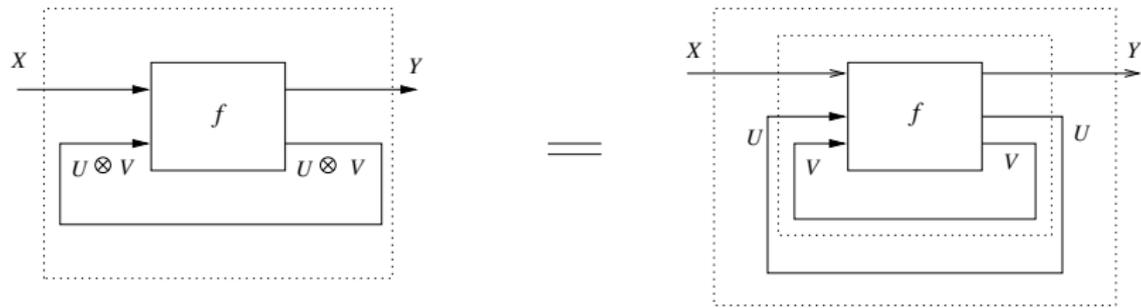
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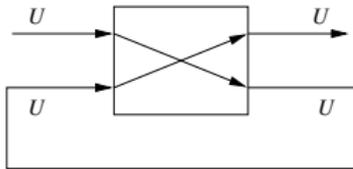




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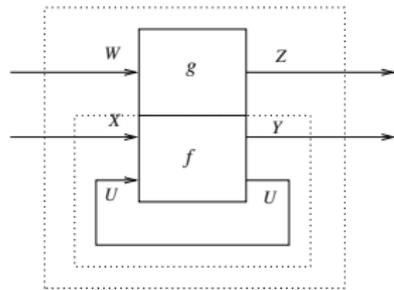




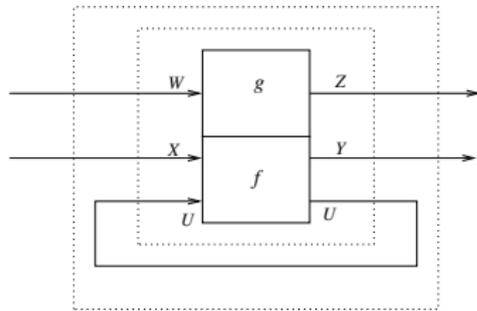


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- ▶ This is just summing $\dim(U)$ many diagonal blocks, each of size $\dim(W) \times \dim(V)$
- ▶ See what happens when $\dim(V) = \dim(W) = 1$, that is when $V \cong W \cong k$

Examples, cont'd

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- ▶ This is not a product, nor a coproduct.
- ▶ Given $R : X \otimes U \longrightarrow Y \otimes U$,
 $Tr_{X,Y}^U(R) : X \longrightarrow Y$ is defined by

$$(x, y) \in Tr(R) \text{ iff } \exists u. (x, u, y, u) \in R.$$

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- ▶ Models of MLL: Haghverdi

Proposition (Standard Trace Formula)

Let \mathbb{C} be a unique decomposition category such that for every X, Y, U and $f : X \otimes U \rightarrow Y \otimes U$, the sum $f_{11} + \sum_{n=0}^{\infty} f_{12} f_{22}^n f_{21}$ exists, where f_{ij} are the components of f . Then, \mathbb{C} is traced and

$$\text{Tr}_{X,Y}^U(f) = f_{11} + \sum_{n=0}^{\infty} f_{12} f_{22}^n f_{21}.$$

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- ▶ Note that a UDC can be traced with a trace different from the standard one.
- ▶ In all my work, all traced UDCs are the ones with the standard trace.

Examples: calculating traces

Let \mathbb{C} be a traced UDC. Then given any $f : X \otimes U \longrightarrow Y \otimes U$, $Tr_{X,Y}^U(f)$ exists.

- ▶ Let $f : X \otimes U \longrightarrow Y \otimes U$ be given by $\begin{bmatrix} g & 0 \\ h & 0 \end{bmatrix}$. Then

$$Tr_{X,Y}^U(f) = Tr_{X,Y}^U \left(\begin{bmatrix} g & 0 \\ h & 0 \end{bmatrix} \right) = g + \sum_n 00^n h = g + 0h = g + 0 = g.$$

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Definition

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- ▶ \mathbb{C} is a TSMC, **Not necessarily a traced UDC!**

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- ▶ $T : \mathbb{C} \longrightarrow \mathbb{C}$ is a traced symmetric monoidal functor with the following retractions:
 1. $TT \triangleleft T (e, e')$ (Comultiplication)
 2. $Id \triangleleft T (d, d')$ (Dereliction)
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 4. $K_I \triangleleft T (w, w')$ (Weakening).
- ▶ U a reflexive object of \mathbb{C} :
 1. $U \otimes U \triangleleft U (j, k)$
 2. $I \triangleleft U$
 3. $TU \triangleleft U (u, v)$

Example: Plnj

- ▶ In Plnj we let $\otimes = \uplus$,
- ▶ The tensor unit is the empty set \emptyset .
- ▶ $T = \mathbb{N} \times -$, with $T = (T, \psi, \psi_I)$:
 $\psi_{X,Y} : \mathbb{N} \times X \uplus \mathbb{N} \times Y \longrightarrow \mathbb{N} \times (X \uplus Y)$ given by
 $(1, (n, x)) \mapsto (n, (1, x))$ and $(2, (n, y)) \mapsto (n, (2, y))$.
 ψ has an inverse defined by: $(n, (1, x)) \mapsto (1, (n, x))$ and
 $(n, (2, y)) \mapsto (2, (n, y))$.
 $\psi_I : \emptyset \longrightarrow \mathbb{N} \times \emptyset$ given by 1_\emptyset .

- ▶ T is additive, and thus it is also traced:

Given $f : X \uplus U \longrightarrow Y \uplus U$:

$$1_{\mathbb{N}} \times \text{Tr}_{X,Y}^U(f) = \text{Tr}_{\mathbb{N} \times X, \mathbb{N} \times Y}^{\mathbb{N} \times U}(\psi^{-1}(1_{\mathbb{N}} \times f)\psi).$$

- ▶ \mathbb{N} is a reflexive object.

1. $\mathbb{N} \uplus \mathbb{N} \triangleleft \mathbb{N}(j, k)$ is given as follows:

$j : \mathbb{N} \uplus \mathbb{N} \longrightarrow \mathbb{N}, j(1, n) = 2n, j(2, n) = 2n + 1$ and

$k : \mathbb{N} \longrightarrow \mathbb{N} \uplus \mathbb{N}, k(n) = (1, n/2)$ for n even, and $(2, (n - 1)/2)$ for n odd.

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2. $\emptyset \triangleleft \mathbb{N}$ using the empty partial function as the retract morphisms.
3. $\mathbb{N} \times \mathbb{N} \triangleleft \mathbb{N}(u, v)$ is defined as:

$u(m, n) = \langle m, n \rangle = \frac{(m+n+1)(m+n)}{2} + n$ (Cantor surjective pairing) and v as its inverse, $v(n) = (n_1, n_2)$ with $\langle n_1, n_2 \rangle = n$.

We next define the necessary monoidal natural transformations.

$$\blacktriangleright \mathbb{N} \times (\mathbb{N} \times \mathcal{X}) \xrightarrow{e_X} \mathbb{N} \times \mathcal{X} \text{ and } \mathbb{N} \times \mathcal{X} \xrightarrow{e'_X} \mathbb{N} \times (\mathbb{N} \times \mathcal{X})$$

We next define the necessary monoidal natural transformations.

- ▶ $\mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X$ and $\mathbb{N} \times X \xrightarrow{e'_X} \mathbb{N} \times (\mathbb{N} \times X)$
- ▶ $\mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X$ is defined by,
 $e_X(n_1, (n_2, x)) = (\langle n_1, n_2 \rangle, x)$.

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- ▶ $X \xrightarrow{d_X} \mathbb{N} \times X$ and $\mathbb{N} \times X \xrightarrow{d'_X} X$
 $d_X(x) = (n_0, x)$ for a fixed $n_0 \in \mathbb{N}$.

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$$e_X(n_1, (n_2, x)) = (\langle n_1, n_2 \rangle, x).$$

▶ $X \xrightarrow{d_X} \mathbb{N} \times X$ and $\mathbb{N} \times X \xrightarrow{d'_X} X$

$$d_X(x) = (n_0, x) \text{ for a fixed } n_0 \in \mathbb{N}.$$

▶

$$d'_X(n, x) = \begin{cases} x, & \text{if } n = n_0; \\ \text{undefined,} & \text{else.} \end{cases}$$

- $(\mathbb{N} \times X) \uplus (\mathbb{N} \times X) \xrightarrow{c_X} \mathbb{N} \times X$ and
 $\mathbb{N} \times X \xrightarrow{c'_X} (\mathbb{N} \times X) \uplus (\mathbb{N} \times X)$.

$$c_X = \begin{cases} (1, (n, x)) \mapsto (2n, x) \\ (2, (n, x)) \mapsto (2n + 1, x) \end{cases}$$

$$c'_X(n, x) = \begin{cases} (1, (n/2, x)), & \text{if } n \text{ is even;} \\ (2, ((n-1)/2, x)), & \text{if } n \text{ is odd.} \end{cases}$$

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- $\emptyset \xrightarrow{w_X} \mathbb{N} \times X$ and $\mathbb{N} \times X \xrightarrow{w'_X} \emptyset$.

Example: Traced UDC based

- ▶ $(\mathit{PInj}, \mathbb{N} \times -, \mathbb{N})$
- ▶ $(\mathit{Hilb}_2, \ell^2 \otimes -, \ell^2)$
- ▶ $(\mathit{Rel}_\oplus, \mathbb{N} \times -, \mathbb{N})$
- ▶ $(\mathit{Pfn}, \mathbb{N} \times -, \mathbb{N})$

Recall that in categorical denotational semantics:

- ▶ We are given a logical system \mathcal{L} to model, e.g. IL
- ▶ We are given a model category \mathbb{C} with enough structure, e.g. a CCC,
- ▶ Formulas are interpreted as objects
- ▶ Proofs are interpreted as morphisms, indeed morphisms are equivalence classes of proofs
- ▶ Cut-elimination (proof transformation) is interpreted by provable equality.
- ▶ One proves a soundness theorem:

Theorem

Given a sequent $\Gamma \vdash A$ and proofs Π and Π' such that $\Pi \succ \Pi'$, then $\llbracket \Pi \rrbracket = \llbracket \Pi' \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket$.

Gol interpretation

In Gol interpretation:

- ▶ We are given a logical system \mathcal{L} to model, e.g. MLL,
- ▶ We are given a Gol Situation (\mathbb{C}, T, U) , e.g. $(PInj, \mathbb{N} \times -, \mathbb{N})$,
- ▶ Formulas are interpreted as *types* (see below),
- ▶ Proofs are interpreted as morphisms in $\mathbb{C}(U, U)$,
- ▶ Cut-elimination (proof transformation) is interpreted by *the execution formula*

- ▶ One proves a finiteness theorem

Theorem

Given a sequent $\Gamma \vdash A$ with a proof Π and cut formulas represented by σ , then $EX(\theta(\Pi), \sigma)$ exists.

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Theorem

Given a sequent $\Gamma \vdash A$ with a proof Π and cut formulas represented by σ , then $EX(\theta(\Pi), \sigma)$ exists.

- ▶ And a soundness theorem

Theorem

Given a sequent $\Gamma \vdash A$ and proofs Π and Π' such that $\Pi \succ \Pi'$, then $EX(\theta(\Pi), \sigma) = EX(\theta(\Pi'), \tau)$ where σ and τ represent the cut formulas in Π and Π' respectively.

Gol Interpretation: proofs

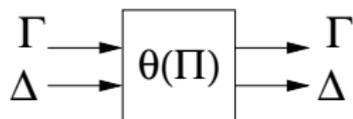
Hereafter we shall be working with **traced UDCs**.

- ▶ Π a proof of $\vdash [\Delta], \Gamma, |\Delta| = 2m$ and $|\Gamma| = n$.
- ▶ Δ keeps track of the cut formulas, e.g., $\Delta = A, A^\perp, B, B^\perp$,
- ▶

$$\theta(\Pi) : U^{n+2m} \longrightarrow U^{n+2m}$$

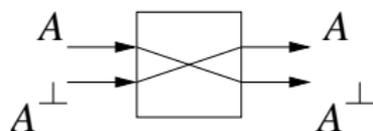
▶

$$\sigma : U^{2m} \longrightarrow U^{2m} = s_{U,U}^{\otimes m}$$



axiom: $\vdash A, A^\perp$, $m = 0, n = 2$.

$\theta(\Pi) = s_{U,U}$.



Examples

Let Π be the following proof:

$$\frac{\vdash A, A^\perp \quad \vdash A, A^\perp}{\vdash [A^\perp, A], A, A^\perp} \text{ (cut)}$$

Then the Gol semantics of this proof is given by

$$\theta(\Pi) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

Now consider the following proof

$$\frac{\frac{\frac{\frac{\vdash B, B^\perp \quad \vdash C, C^\perp}{\vdash B, C, B^\perp \otimes C^\perp}}{\vdash B, B^\perp \otimes C^\perp, C}}{\vdash B^\perp \otimes C^\perp, B, C}}{\vdash B^\perp \otimes C^\perp, B \wp C}.$$

Its denotation is given by

$$\begin{bmatrix} 0 & j_1 k_1 + j_2 k_2 \\ j_1 k_1 + j_2 k_2 & 0 \end{bmatrix}.$$

Orthogonality & Types

▶ $f, g \in \mathbb{C}(U, U)$

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- ▶ $X \subseteq \mathbb{C}(U, U)$,

$$X^\perp = \{f \in \mathbb{C}(U, U) \mid \forall g (g \in X \Rightarrow f \perp g)\}$$

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▶ Definition

A *type*: $X \subseteq \mathbb{C}(U, U)$, $X = X^{\perp\perp}$.

- ▶ 0_{UU} belongs to every type.

- ▶ Gol situation (\mathbb{C}, T, U) . j_1, j_2, k_1, k_2 components of $U \otimes U \triangleleft U(j, k)$.
- ▶ $\theta(\alpha) = X$, for α atomic,
- ▶ $\theta(\alpha^\perp) = (\theta\alpha)^\perp$, for α atomic,
- ▶ $\theta(A \otimes B) = \{j_1 a k_1 + j_2 b k_2 \mid a \in \theta A, b \in \theta B\}^{\perp\perp}$
- ▶ $\theta(A \wp B) = \{j_1 a k_1 + j_2 b k_2 \mid a \in (\theta A)^\perp, b \in (\theta B)^\perp\}^\perp$
- ▶ $\theta(!A) = \{u T(a) v \mid a \in \theta A\}^{\perp\perp}$
- ▶ $\theta(?A) = \{u T(a) v \mid a \in (\theta A)^\perp\}^\perp$

- ▶ Π a proof of $\vdash [\Delta], \Gamma$ with cut formulas in Δ

$$\Pi \quad \rightsquigarrow \quad (\theta(\Pi), \sigma)$$

a proof of
MELL

pair of morphisms
on the object U

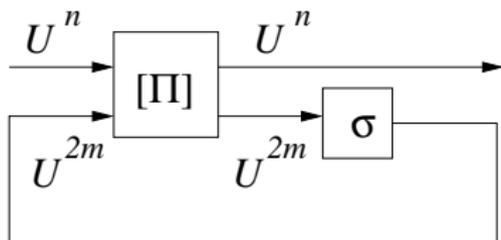
- ▶ execution formula = standard trace formula

$\theta(\Pi) : U^{n+2m} \longrightarrow U^{n+2m}$ and $\sigma : U^{2m} \longrightarrow U^{2m}$

The dynamics is given by

$$EX(\theta(\Pi), \sigma) = \text{Tr}_{U^n, U^n}^{U^{2m}}((1_{U^n} \otimes \sigma)\theta(\Pi))$$

normalisation \leftrightarrow finite sum



Which in a traced UDC is:

$$EX(\theta(\Pi), \sigma) = \pi_{11} + \sum_{n \geq 0} \pi_{12}(\sigma\pi_{22})^n(\sigma\pi_{21})$$

where $\theta(\Pi) = \begin{bmatrix} \pi_{11} & \pi_{12} \\ \pi_{21} & \pi_{22} \end{bmatrix}$.

Example, again!

$$\frac{\vdash A, A^\perp \quad \vdash A, A^\perp}{\vdash [A^\perp, A], A, A^\perp}$$

$$EX(\theta(\Pi), \sigma) = \text{Tr} \left(\begin{matrix} \sigma = s \\ \left(\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \right) \end{matrix} \right)$$

$$= \text{Tr} \left(\begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right)$$

$$= \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} + \sum_{n \geq 0} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}^n \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

Associativity of cut

Lemma

Let Π be a proof of $\vdash [\Gamma, \Delta], \Lambda$ and σ and τ be the morphisms representing the cut-formulas in Γ and Δ respectively. Then

$$\begin{aligned} EX(\theta(\Pi), \sigma \otimes \tau) &= EX(EX(\theta(\Pi), \tau), \sigma) \\ &= EX(EX((1 \otimes s)\theta(\Pi)(1 \otimes s), \sigma), \tau) \end{aligned}$$

Proof.

$$\begin{aligned} &EX(EX(\theta(\Pi), \tau), \sigma) \\ &= Tr((1 \otimes \sigma) Tr((1 \otimes \tau)\theta(\Pi))) \\ &= Tr^{U^2}(Tr^{U^2}[(1 \otimes \sigma \otimes 1)(1 \otimes \tau)\theta(\Pi)]) \\ &= Tr^{U^4}((1 \otimes \sigma \otimes \tau)\theta(\Pi)) \\ &= EX(\theta(\Pi), \sigma \otimes \tau) \end{aligned}$$

The big picture

proof \rightsquigarrow algorithm

cut-elim. \downarrow \downarrow computation

cut-free proof \rightsquigarrow datum

$\Pi \rightsquigarrow \theta(\Pi)$

cut-elim. \downarrow \downarrow computation

$\Pi' \rightsquigarrow \theta(\Pi') = EX(\theta(\Pi), \sigma)$

Towards the theorems

- ▶ $\Gamma = A_1, \dots, A_n$.
- ▶ A *datum* of type $\theta\Gamma$:
 $M : U^n \longrightarrow U^n$, for any $\beta_1 \in \theta(A_1^\perp), \dots, \beta_n \in \theta(A_n^\perp)$,

$$(\beta_1 \otimes \dots \otimes \beta_n) \perp M$$

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$$(\beta_1 \otimes \dots \otimes \beta_n) \perp M$$

- ▶ An *algorithm* of type $\theta\Gamma$:
 $M : U^{n+2m} \longrightarrow U^{n+2m}$ for some non-negative integer m , for
 $\sigma : U^{2m} \longrightarrow U^{2m} = S^{\otimes m}$,

$$EX(M, \sigma) = Tr((1 \otimes \sigma)M)$$

is a finite sum and a datum of type $\theta\Gamma$.

Lemma

Let $M : U^n \longrightarrow U^n$ and $a : U \longrightarrow U$. Define

$$CUT(a, M) = (a \otimes 1_{U^{n-1}})M : U^n \longrightarrow U^n.$$

Then $M = [m_{ij}]$ is a datum of type $\theta(A, \Gamma)$ iff

- ▶ for any $a \in \theta A^\perp$, $a \perp m_{11}$, and
- ▶ the morphism $ex(CUT(a, M)) = Tr^A(s_{\Gamma, A}^{-1} CUT(a, M) s_{\Gamma, A})$ is in $\theta(\Gamma)$.

Theorem (Convergence or Finiteness)

Let Π be a proof of $\vdash [\Delta], \Gamma$. Then $\theta(\Pi)$ is an algorithm of type $\theta\Gamma$.

Proof.

A taster!

Π is an axiom, where $\Gamma = A, A^\perp$, then we need to prove that $EX(\theta(\Pi), 0) = \theta(\Pi)$ is a datum of type $\theta\Gamma$. That is, for all $a \in \theta A^\perp$ and $b \in \theta A$, $M = (a \otimes b)\theta(\Pi) = \begin{bmatrix} 0 & a \\ b & 0 \end{bmatrix}$ must be nilpotent.

Observe that $M^n = \begin{bmatrix} (ab)^{n/2} & 0 \\ 0 & (ba)^{n/2} \end{bmatrix}$ for n even and

$M^n = \begin{bmatrix} 0 & (ab)^{(n-1)/2}a \\ (ba)^{(n-1)/2}b & 0 \end{bmatrix}$ for n odd. But $a \perp b$ and hence ab and ba are nilpotent. Therefore M is nilpotent. \square

Theorem (Soundness)

Let Π be a proof of a sequent $\vdash [\Delta], \Gamma$ in MELL. Then

- (i) $EX(\theta(\Pi), \sigma)$ is a finite sum.
- (ii) If Π reduces to Π' by any sequence of cut-elimination steps and Γ does not contain any formulas of the form $?A$, then $EX(\theta(\Pi), \sigma) = EX(\theta(\Pi'), \tau)$. So $EX(\theta(\Pi), \sigma)$ is an invariant of reduction. In particular, if Π' is any cut-free proof obtained from Π by cut-elimination, then $EX(\theta(\Pi), \sigma) = \theta(\Pi')$.

Proof.

A **taster** Part (i) is an easy corollary of Convergence Theorem. We proceed to the proof of part (ii).

Suppose Π' is a cut-free proof of $\vdash \Gamma, A$ and Π is obtained by applying the cut rule to Π' and the axiom $\vdash A^\perp, A$. Then

$$\begin{aligned} EX(\theta(\Pi), \sigma) &= \\ & Tr \left((1 \otimes \sigma) \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \pi'_{11} & \pi'_{12} & 0 & 0 \\ \pi'_{21} & \pi'_{22} & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{bmatrix} \right) \\ &= Tr \left(\begin{bmatrix} \pi'_{11} & 0 & \pi'_{12} & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ \pi'_{21} & 0 & \pi'_{22} & 0 \end{bmatrix} \right) = \begin{bmatrix} \pi'_{11} & \pi'_{12} \\ \pi'_{21} & \pi'_{22} \end{bmatrix} = \theta(\Pi') \quad \square \end{aligned}$$

- ▶ $(\mathbf{PInj}, \mathbb{N} \times -, \mathbb{N})$ is a Gol situation.

▶ Proposition

$(\mathbf{Hilb}_2, \ell^2 \otimes -, \ell^2)$ is a Gol Situation which agrees with Girard's C^* -algebraic model, where $\ell^2 = \ell_2(\mathbb{N})$. Its structure is induced via ℓ_2 from \mathbf{PInj} .

▶ Proposition

Let Π be a proof of $\vdash [\Delta], \Gamma$. Then in Girard's model \mathbf{Hilb}_2 above,

$$((1 - \sigma^2) \sum_{n=0}^{\infty} \theta(\Pi)(\sigma\theta(\Pi))^n(1 - \sigma^2))_{n \times n} = \text{Tr}((1 \otimes \tilde{\sigma})\theta(\Pi))$$

where $(A)_{n \times n}$ is the submatrix of A consisting of the first n rows and the first n columns. $\tilde{\sigma} = s \otimes \cdots \otimes s$ (m -times.)

The mistakes Gol makes ...

Consider the following situation:

$$\frac{\vdash !A, ?A^\perp \quad \vdash !A, ?A^\perp}{\vdash [?A^\perp, !A], !A, ?A^\perp} \succ \quad \vdash !A, ?A^\perp$$

Note that $EX(\theta(\Pi), s) = \begin{bmatrix} 0 & ((Td')e')^2 \\ (e(Td))^2 & 0 \end{bmatrix}$

but $\theta(\Pi') = \begin{bmatrix} 0 & (Td')e' \\ e(Td) & 0 \end{bmatrix}$

- ▶ Extension to additives
- ▶ Exploiting the Gol as a semantics: Lambda calculus, PCF etc.
- ▶ Gol 4: The Feedback Equation
- ▶ Gol 5: The Hyperfinite Factor
- ▶ Connecting to logical complexity