

Shadows of meaning

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Cornell University

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衆賢
探象之圖



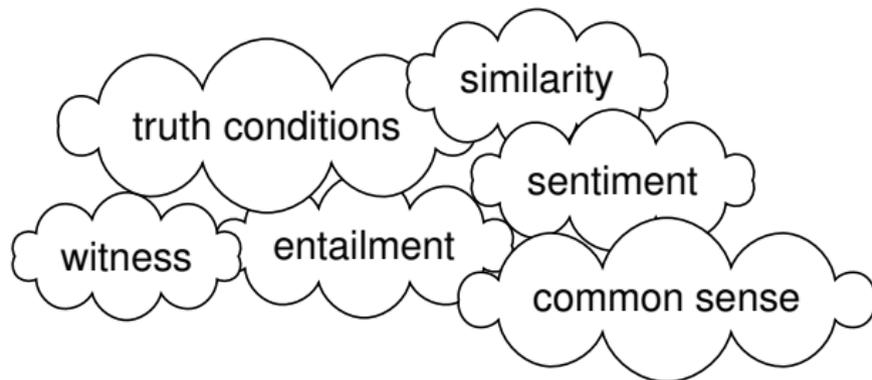
What is meaning?

Semantics with no treatment of truth conditions is not semantics.

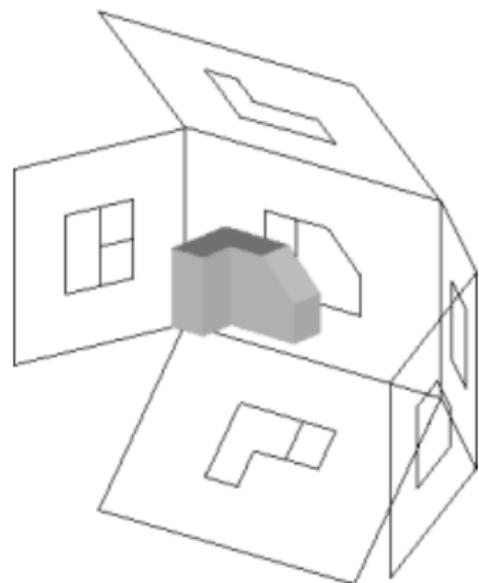
...

In order to say what a meaning is, we may first ask what a meaning does, and then find something that does that.

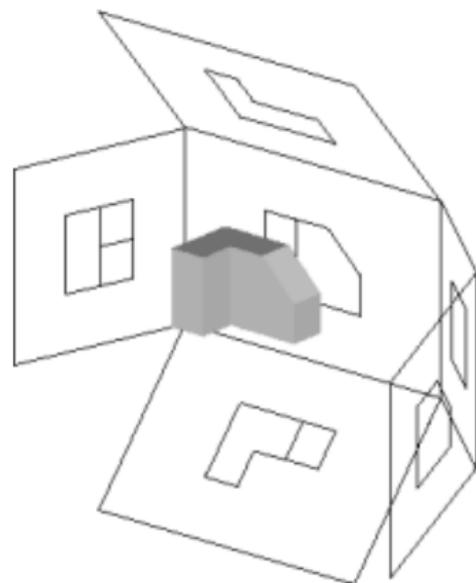
—Lewis “General semantics”



Informative shadows from random projections



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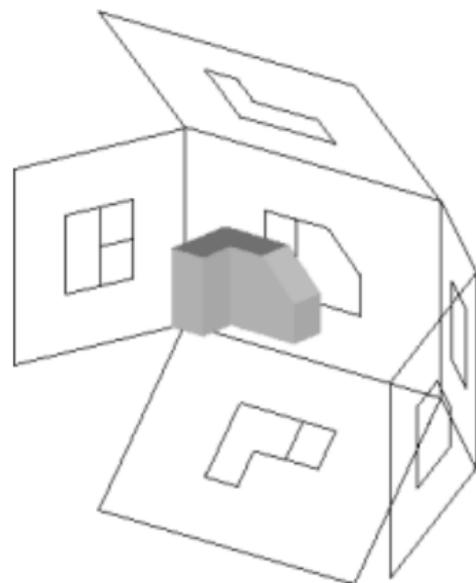


Hope in progress that “meaning” is not so polysemous:

1. Generics about kinds as topological spaces
2. Distributional semantics from language models

Reconstruct non-just-so stories from projections.

Informative shadows from random projections



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Reconstruct non-just-so stories from projections.

Generic statements refer to kinds (Carlson)

Lions are carnivores.

Lions have four legs.

Lions have manes.

Lions give birth to live young.

Lions roar.

Lions are female. (not)

Lions are widespread.

Lions are extinct. (not)

Not universal, not existential, not proportional, not quantificational.

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What does it take for a kind to satisfy a property that applies to members of the kind?

Generic statements are default generalizations (Leslie)

See a few lions eat flesh, then encounter a new lion.

See a few lions give birth to live young, then encounter a new lion.

See a few lions that are male, then encounter a new lion.

See a few lions at the zoo, then encounter a new lion.

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- ▶ Tolerate **negative counterexamples**

Lions are female. (not)

Peacocks have big blue tails. (not if females had big pink tails)

- ▶ Generalize along **characteristic dimensions**

Birds lay eggs.

Bees reproduce. Bees are not sterile.

- ▶ Consider the **function and purpose of artifacts**

OrangeCrusher 2000s crush oranges. (even if never used)

Firefighters extinguish fires. (even if no fires)

- ▶ Sense **disposition for disease, disaster, danger, disgust**

Mosquitoes carry the West Nile virus.

Sharks attack bathers.

From cognition to logic

Logic is good for formalizing statements and their idealized inference patterns.

Predicate logic is good for formalizing quantified statements.

What is good for formalizing generic statements?

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Logic is good for formalizing statements and their idealized inference patterns.

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What is good for formalizing generic statements?

Adam Bjorndahl, Will Starr and I propose to use topology:

- ▶ Kinds are **topological spaces**
- ▶ Generic properties are **large sets**

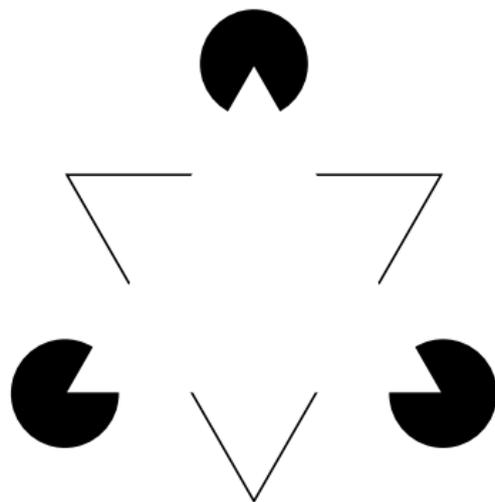
One notion of large sets is what mathematicians call *generic*—

General-viewpoint assumption (Huffman)



General-viewpoint assumption (Huffman)

Kanizsa's subjective contours

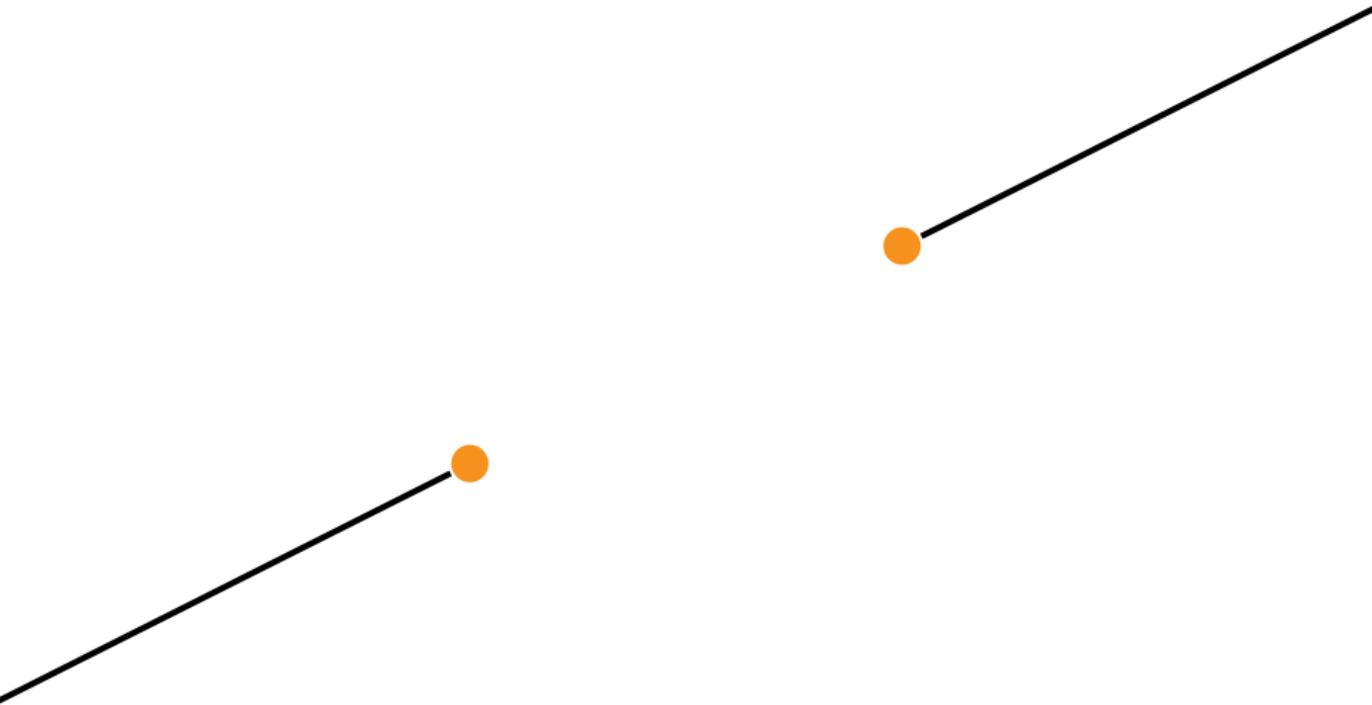


Lining up is robust, not an accident: it holds after jiggling

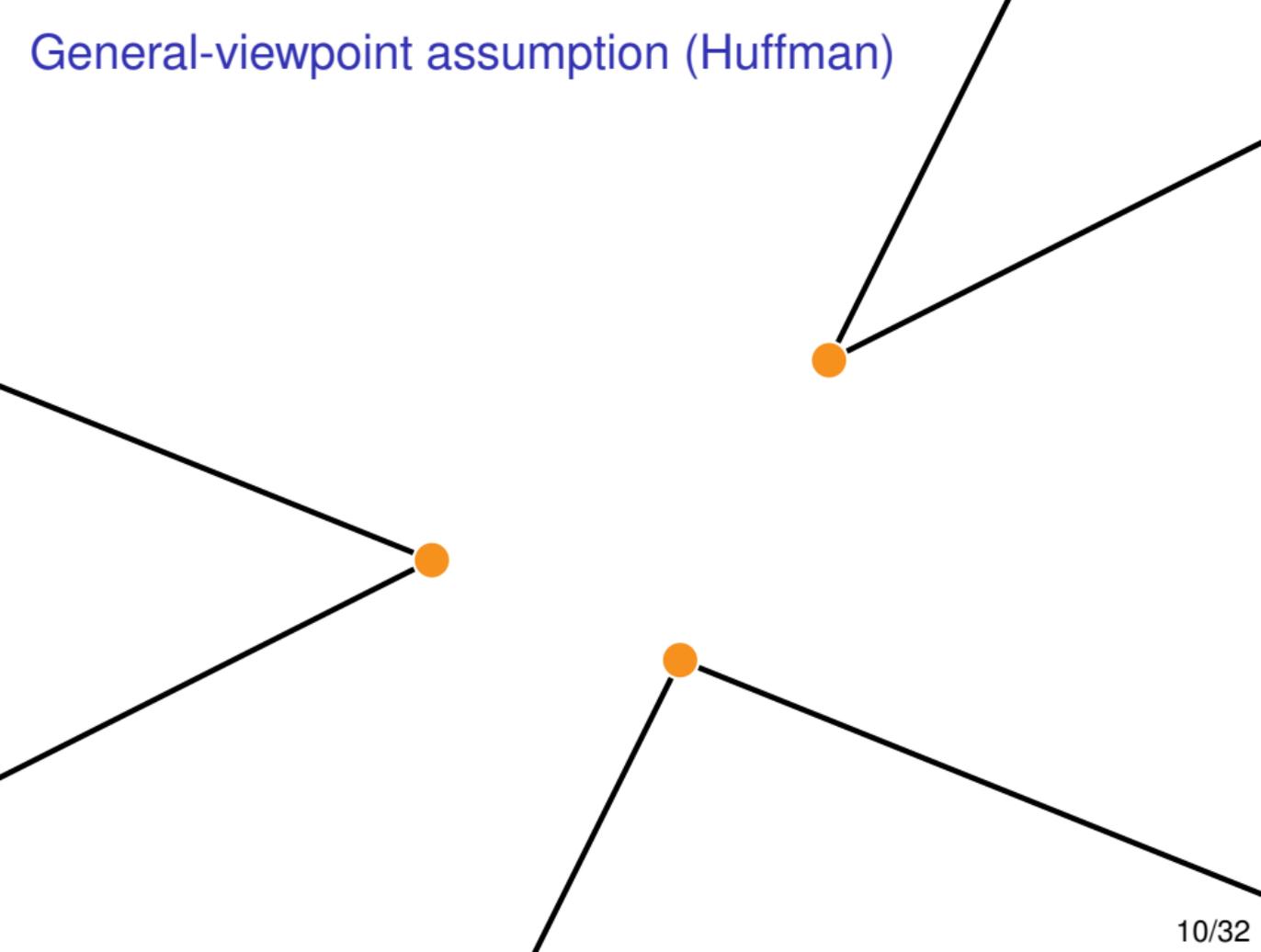
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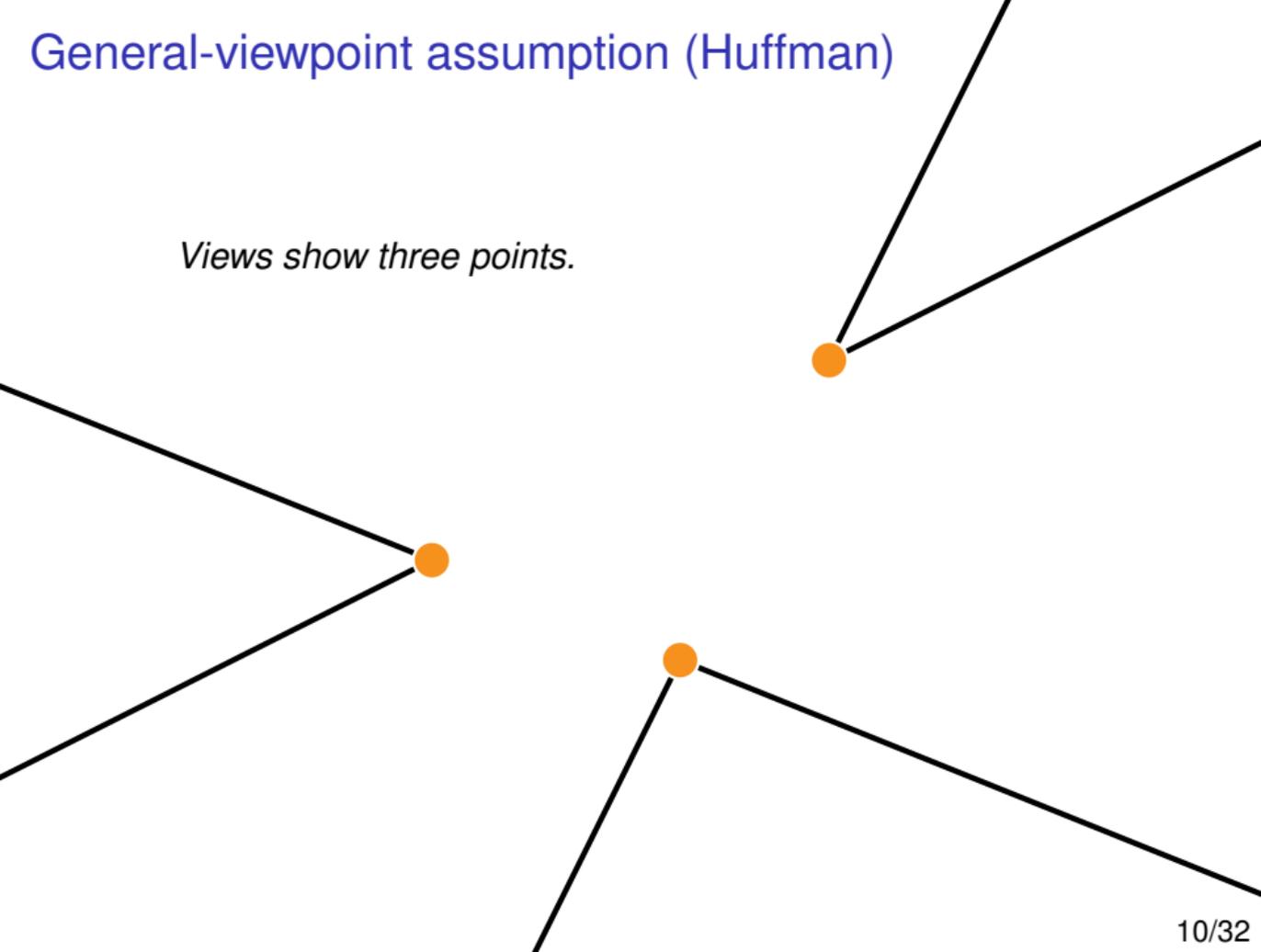


General-viewpoint assumption (Huffman)



General-viewpoint assumption (Huffman)

Views show three points.



Spaces

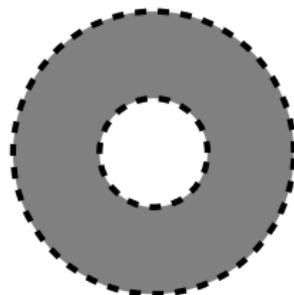
A topological space is

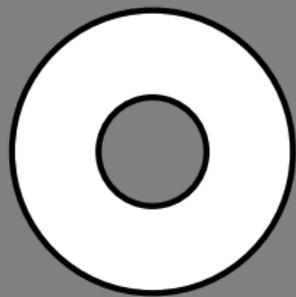
- ▶ a set X of **points**, and
- ▶ certain sets of points designated as **open**.

(Unions and finite intersections of open sets must be open.)

Example: metric spaces, for instance

- ▶ $X = \mathbb{R}^2$
- ▶ $U \subseteq X$ is open iff
 $\forall p \in U, \exists \epsilon > 0, \forall q \in X, d(p, q) < \epsilon \rightarrow q \in U$





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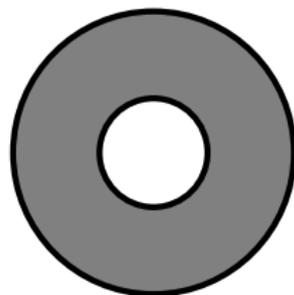
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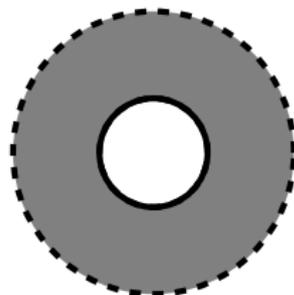
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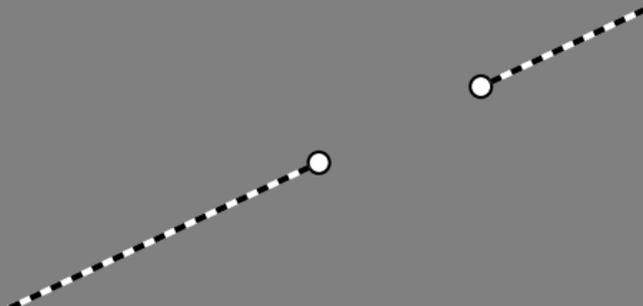
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(Kripke models)

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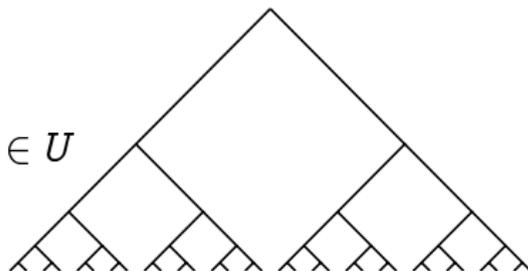
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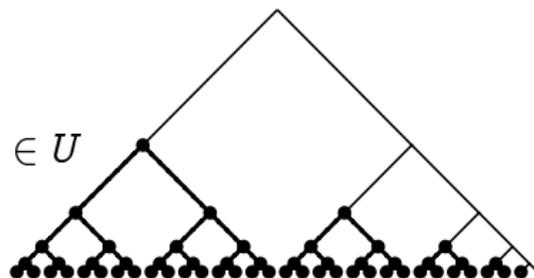
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Operations on spaces

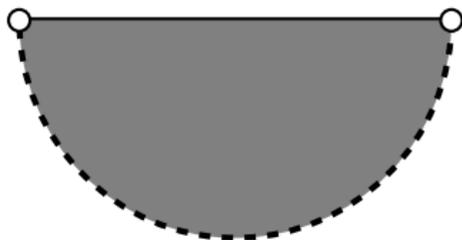
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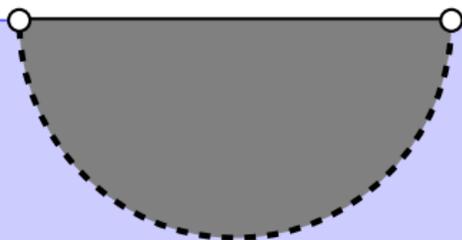
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Product, sum, \dots , **restriction to a subspace**



Kinds are topological spaces

What are the points? At least the actual lions.

Maybe also metaphysically possible lions. But not just.

Points are like situations or discourse contexts:

- ▶ Points may be situations or discourse contexts.
- ▶ Points reflect how human cognition carves up the world.
- ▶ The metaphysical status of points is unclear.
- ▶ One can worry too much about points.
(as with viewpoints and individuals)

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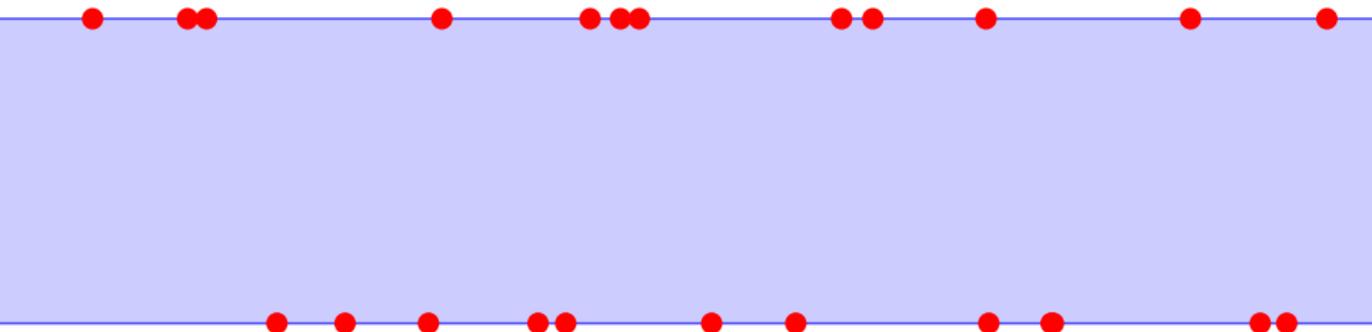
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Actual lions are *discrete*.

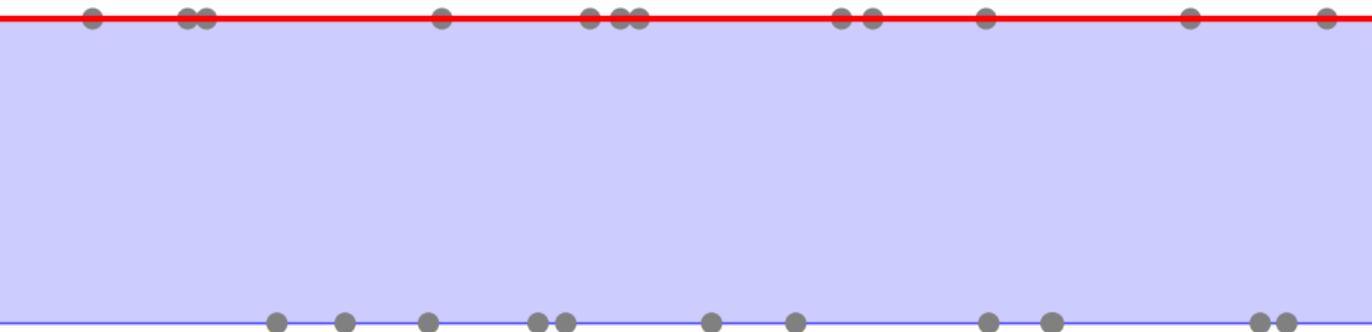
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A property is a set of points.

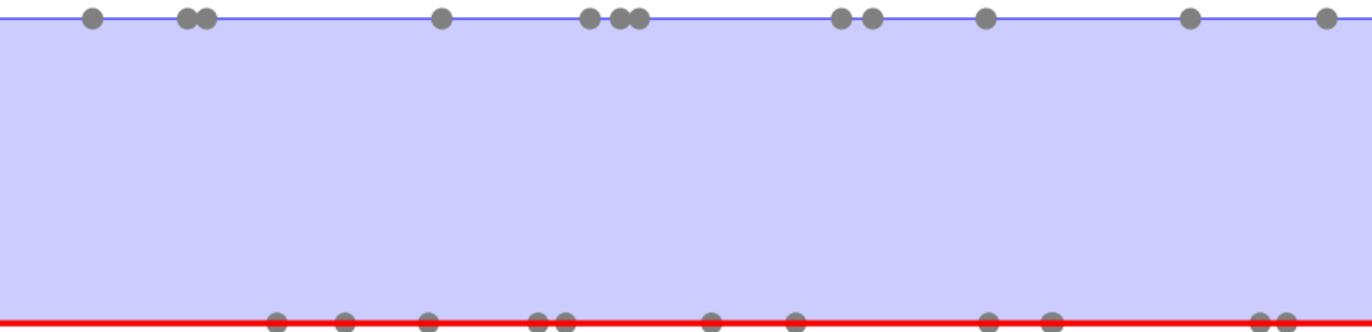
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Neither-male-nor-female points are *dense*.

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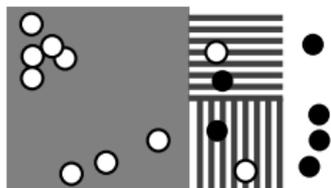


Breathing room

Intensionality arises from the extra points *and their topology*—

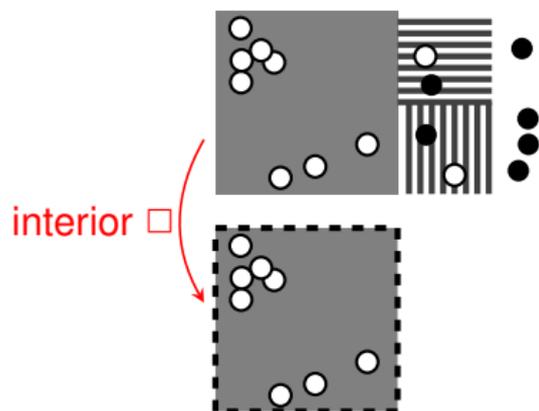
Open sets

A qualitative yet geometric notion of nearness.



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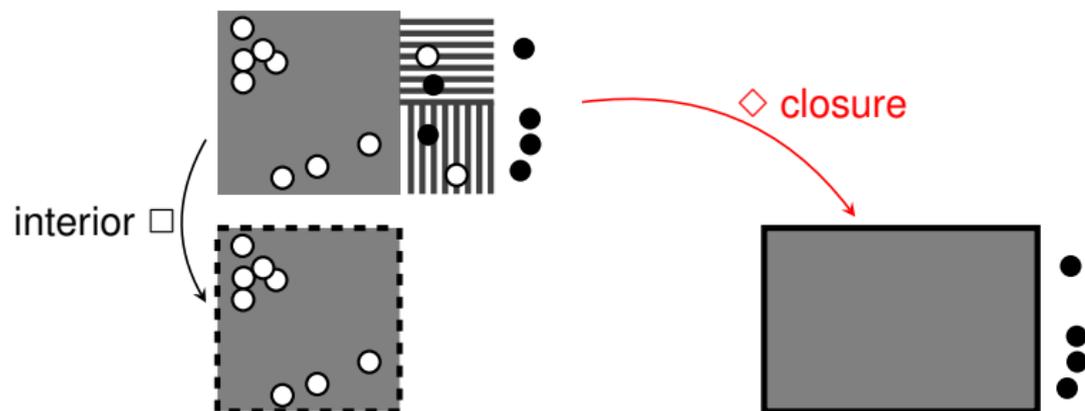


$\square A = A \leftrightarrow A$ is open

Where A holds robustly, locally.

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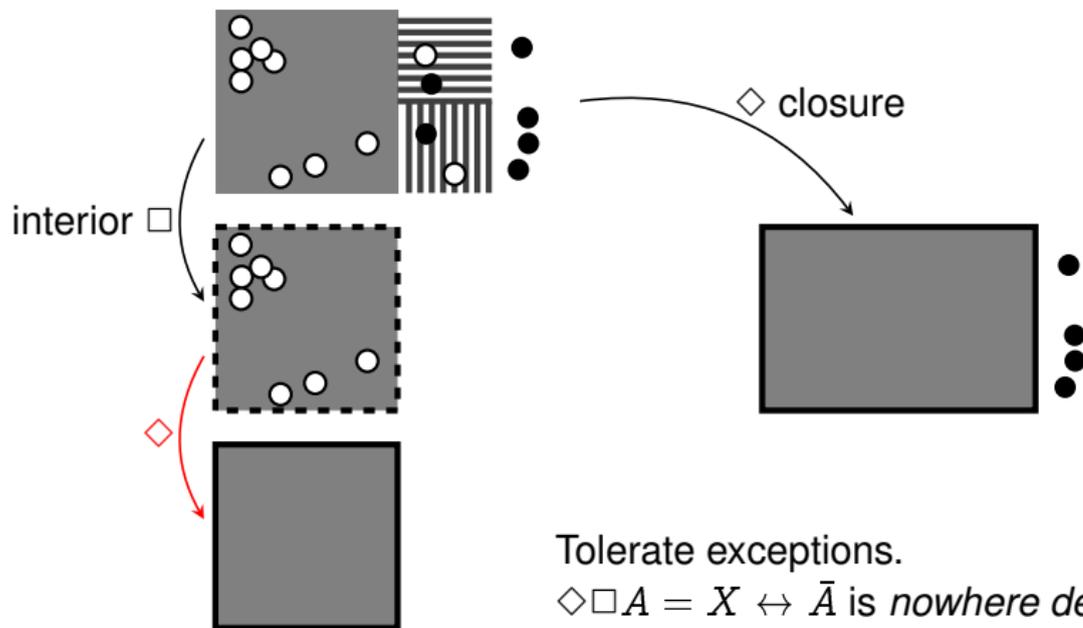


$$\diamond A = A \leftrightarrow A \text{ is closed}$$

$$\diamond A = X \leftrightarrow A \text{ is dense}$$

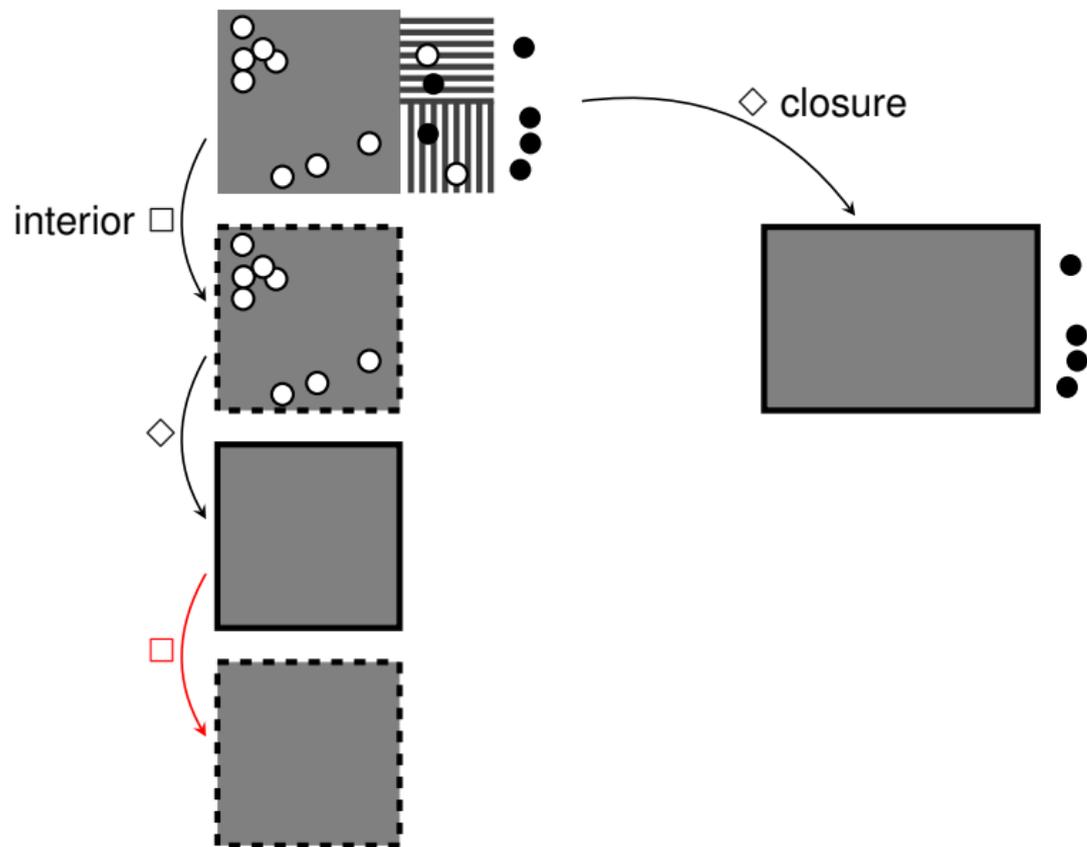
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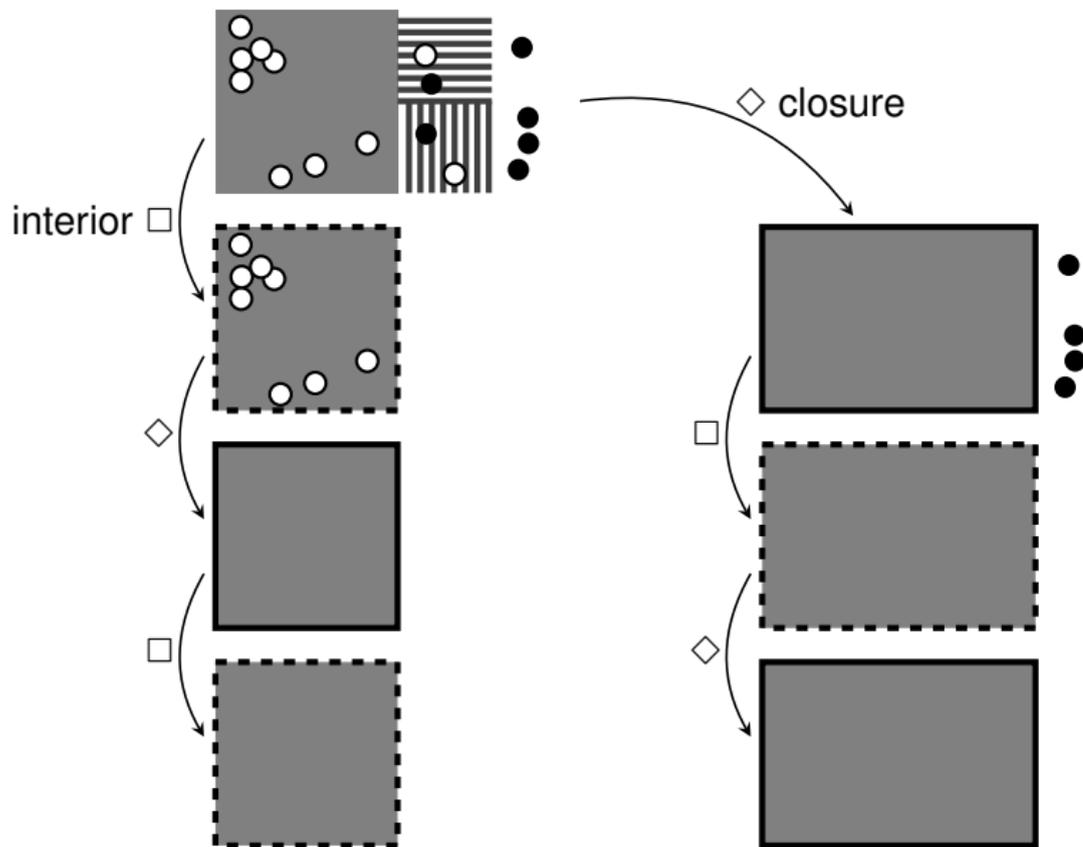
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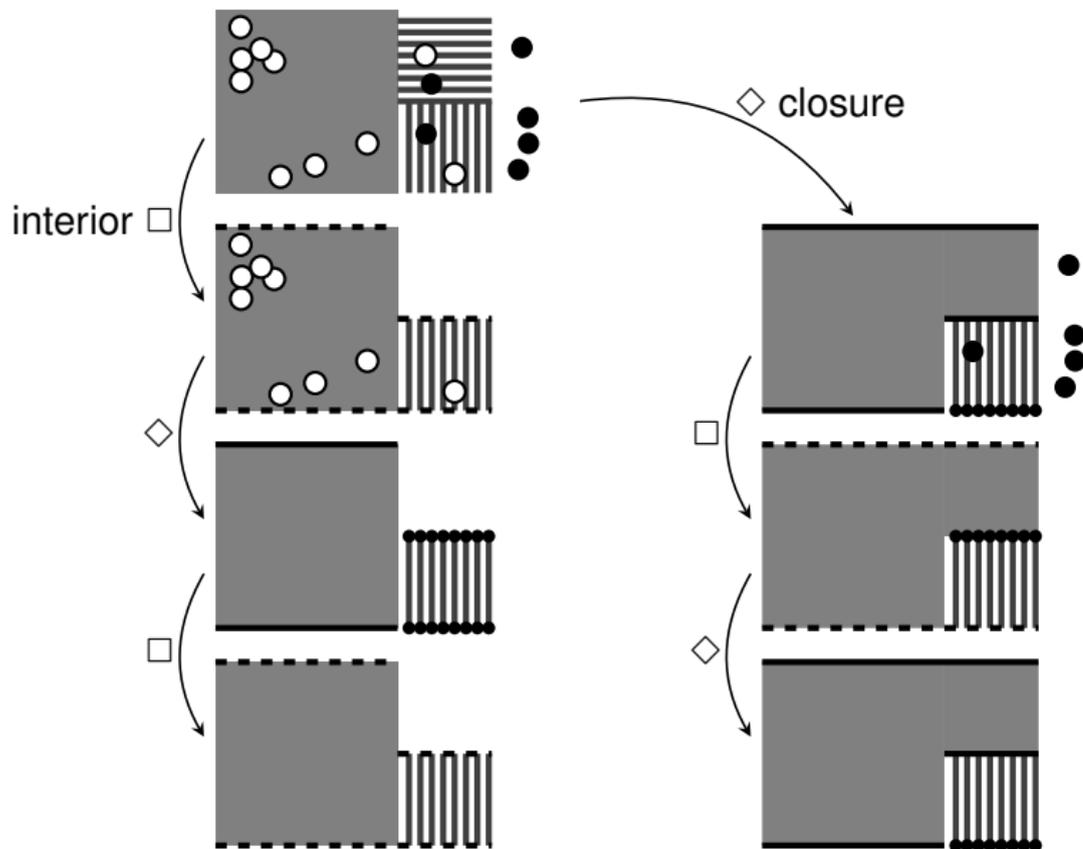
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Open sets are sensible properties

A set of points is a property. An open set is a “positive” (Leslie), “human-graspable” (Kratzer) property.

These properties are sensible grounds for similarity claims:

Lions are like tigers because they both have paws.

Tigers are like snakes because they both do not have manes.

Tweetie is like Lulu because they both are ravens/black.

Fido is like Quincy because they both are not ravens/black.

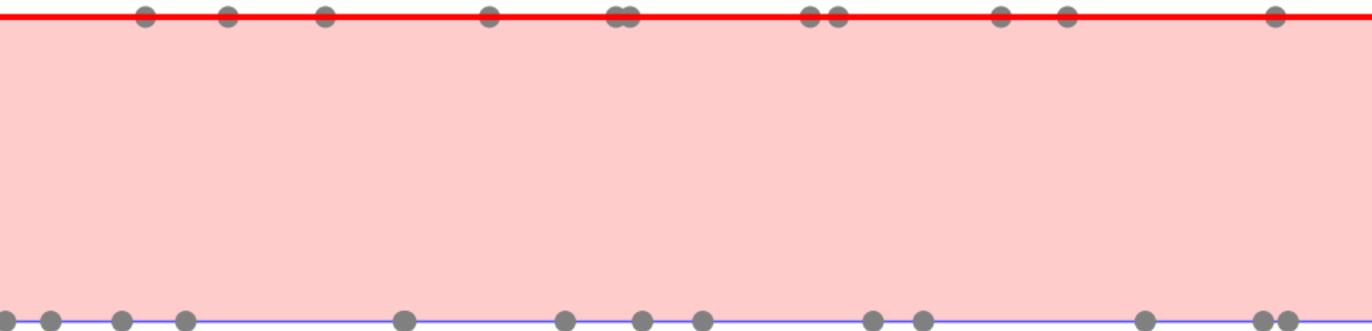
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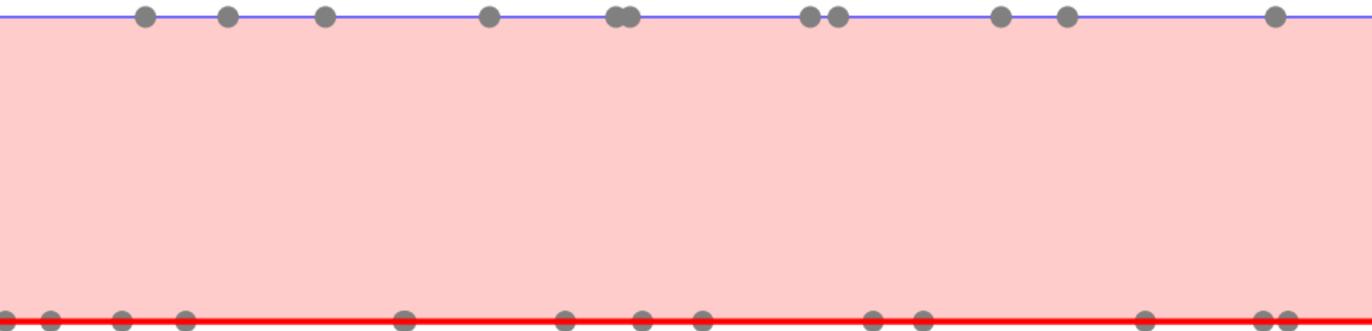
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Lions have manes.

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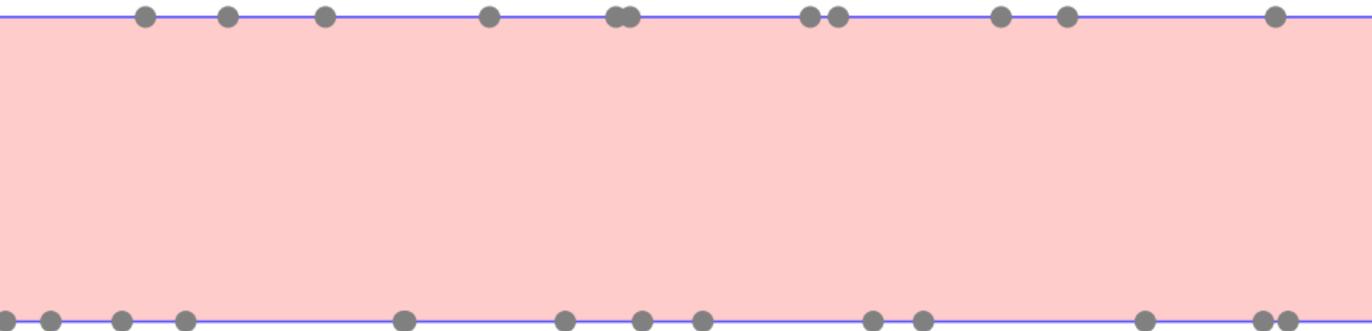
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Lions give birth to live young.

Open sets are sensible properties

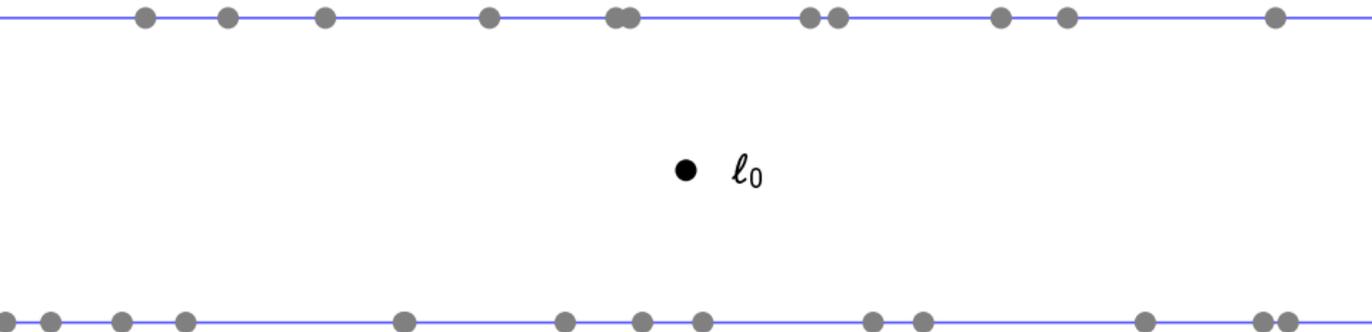
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Lions have manes and give birth to live young.

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● l_0



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● l_0



Put l_0 in every nonempty open set.

In other words, let $l_0 \succeq$ every element of partial order.

This generic lion l_0 may have properties that no actual lion has!

Add more points for generic statements about subkinds:

$l_0 \succ l_f \succ$ every actual female lion.

Just so?

Notions of largeness

	\bar{A} is nowhere dense ($X = \diamond \square A$ $= \square \diamond \square A$)	\bar{A} is <i>meager</i> (countable union of nowhere dense)	A is not meager
$\square A, \square B \models \square(A \cap B)$	✓	✓	
$\square A \models \square(A \cup B)$	✓	✓	
$\square A_i \models \square \bigcap_{i=1}^{\infty} A_i$	×	✓	
$\square \emptyset \models \perp$	✓	×	
Degenerates to \forall in discrete space	✓	×	
Preserved by restriction to open subspace	✓	✓	

We want to axiomatize \square separately from \square, \diamond .

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Positive alternatives and public announcement

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Positive alternatives and public announcement

Lions give birth to live young.

Lions are female. (not)

Maybe bringing up *male* or *female* restricts to the set
 $\text{Male} \cup \text{Female}$, not an open set in the original lion space.
(In fact, its interior is empty.)



Generics and kinds: summary

Kinds are topological spaces.

- ▶ Points are not just actual individuals.
- ▶ Open sets are sensible properties.

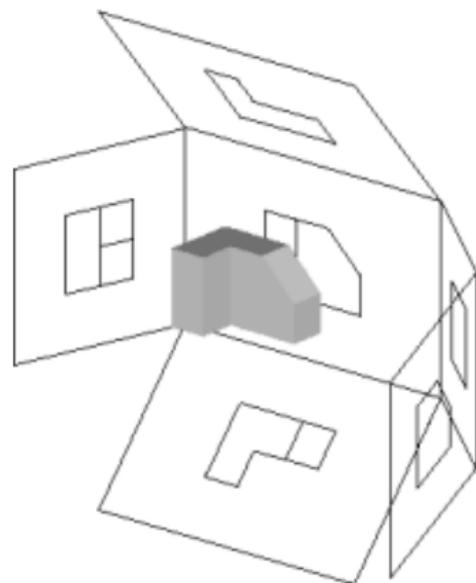
Generic properties are large sets.

- ▶ Inference patterns arise from $\Box = \Box \Diamond \Box$ and public announcement.

'But it has always happened that the more I hate men individually the more I love humanity.'

—Dostoyevski *"The Brothers Karamazov"*

Informative shadows from random projections



Hope in progress that “meaning” is not so polysemous:

1. Generics about kinds as topological spaces
2. **Distributional semantics from language models**

Reconstruct non-just-so stories from projections.

Thanks

Bolzano

- ▶ European Masters Program in Language and Communication Technologies

Trento

- ▶ Marco Baroni
- ▶ Raffaella Bernardi
- ▶ Roberto Zamparelli

Rutgers

- ▶ Jason Perry
- ▶ Matthew Stone

Cornell

- ▶ John Hale
- ▶ Mats Rooth

Distributional semantics

For information retrieval, bag of words in each document.

	... fact	factor	factory ...	
⋮				
	... 136	68	3	...
	... 31	7	0	...
	... 11	6	1	...
⋮				

Stopwords, stemming, tagging

Normalize by document and by word

Inner products for keyword/similarity retrieval (why does it work?)

Distributional semantics

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$$\begin{array}{c} \vdots \\ \text{document icon} \\ \text{document icon} \\ \text{document icon} \\ \vdots \end{array} \begin{pmatrix} \dots \text{fact} & \text{factor} & \text{factory} \dots \\ \dots 136 & 68 & 3 & \dots \\ \dots 31 & 7 & 0 & \dots \\ \dots 11 & 6 & 1 & \dots \\ \vdots \end{pmatrix}$$

$$= \begin{array}{c} \vdots \\ \text{document icon} \\ \text{document icon} \\ \text{document icon} \\ \vdots \end{array} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \times \begin{pmatrix} \dots \text{fact} & \text{factor} & \text{factory} \dots \\ \\ \\ \end{pmatrix}$$

Reduce dimensionality—topic models

Distributional semantics

Rows are signs (phrases). Columns are contexts (nearby words).

	... fact	factor	factory ...
⋮	⎛		⎞
pamphlet			
pan			
pancake			
pancreas			
⋮			

All mixed up with world knowledge and pragmatic debris.

But this shadow of meaning does do

- ▶ similarity, relevance, analogy (inner product)
- ▶ entailment: lexical (feature inclusion), quantifier (?)
- ▶ sentiment

Two views: geometric (e.g., cosine distance) and probabilistic (e.g., KL divergence). See *information geometry*.

Beyond counting words

Perform different tasks without going back to the corpus?

Phrase meanings? (sparse data; compositionality)

Use syntactic structure? (word dependencies easier; sparse data)

RB , NNS IN NN RB VHP JJ NN NN IN ,

however , individual with autism also have abnormal brain activation in m

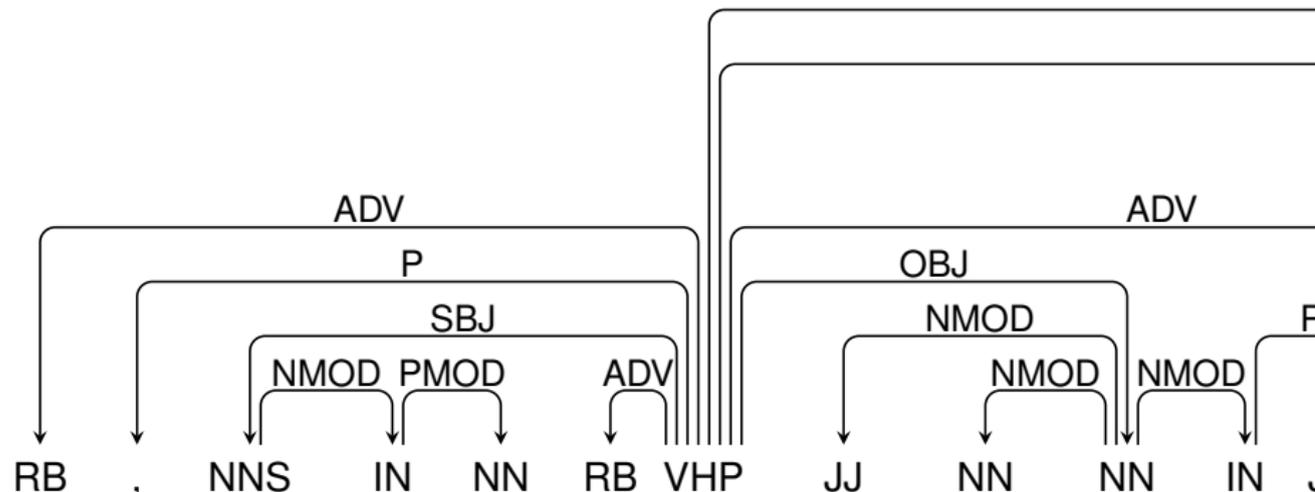
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Perform different tasks without going back to the corpus?

Phrase meanings? (sparse data; compositionality)

Use syntactic structure? (word dependencies easier; sparse data)



however, individual with autism also have abnormal brain activation in m...

However, individuals with autism also have abnormal brain activation in m...

Beyond counting words

Rows are utterances. Columns are worlds and utterance contexts?

$$\begin{array}{c} S_1 \\ S_2 \\ S_3 \\ \vdots \end{array} \begin{pmatrix} w_1 & w_2 & w_3 & \dots \\ 1 & 0 & 1 & \dots \\ 1 & 0 & 0 & \dots \\ 0 & 0 & 1 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Beyond counting words

Rows are utterances. Columns are worlds and utterance contexts? Decomposition reveals entities?

$$\begin{array}{c} \\ S_1 \\ S_2 \\ S_3 \\ \vdots \end{array} \begin{array}{cccc} w_1 & w_2 & w_3 & \dots \\ \left(\begin{array}{cccc} 1 & 0 & 1 & \dots \\ 1 & 0 & 0 & \dots \\ 0 & 0 & 1 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{array} \right) \end{array}$$

$$= \begin{array}{c} S_1 \\ S_2 \\ S_3 \\ \vdots \end{array} \left(\begin{array}{c} \\ \\ \\ \end{array} \right) \times \begin{array}{cccc} w_1 & w_2 & w_3 & \dots \\ \left(\begin{array}{c} \\ \\ \\ \end{array} \right) \end{array}$$

Beyond counting words

Rows are utterances. Columns are worlds and utterance contexts? Decomposition reveals entities?

$$\begin{array}{c} \\ S_1 \\ S_2 \\ S_3 \\ \vdots \end{array} \begin{array}{cccc} w_1 & w_2 & w_3 & \dots \\ \left(\begin{array}{cccc} 1 & 0 & 1 & \dots \\ 1 & 0 & 0 & \dots \\ 0 & 0 & 1 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{array} \right) \end{array}$$

$$= \begin{array}{c} S_1 \\ S_2 \\ S_3 \\ \vdots \end{array} \left(\begin{array}{c} \\ \\ \\ \end{array} \right) \times \begin{array}{cccc} w_1 & w_2 & w_3 & \dots \\ \left(\begin{array}{c} \\ \\ \\ \end{array} \right) \end{array}$$

Rows are phrases. Columns include linguistic contexts?

From language model to distributional semantics

Sparse data motivates **language modeling** to produce virtual infinite corpus: not frequencies observed but probabilities estimated (smoothed, factored).

Let the distributional meaning of a phrase S be the probability distribution over its contexts C .

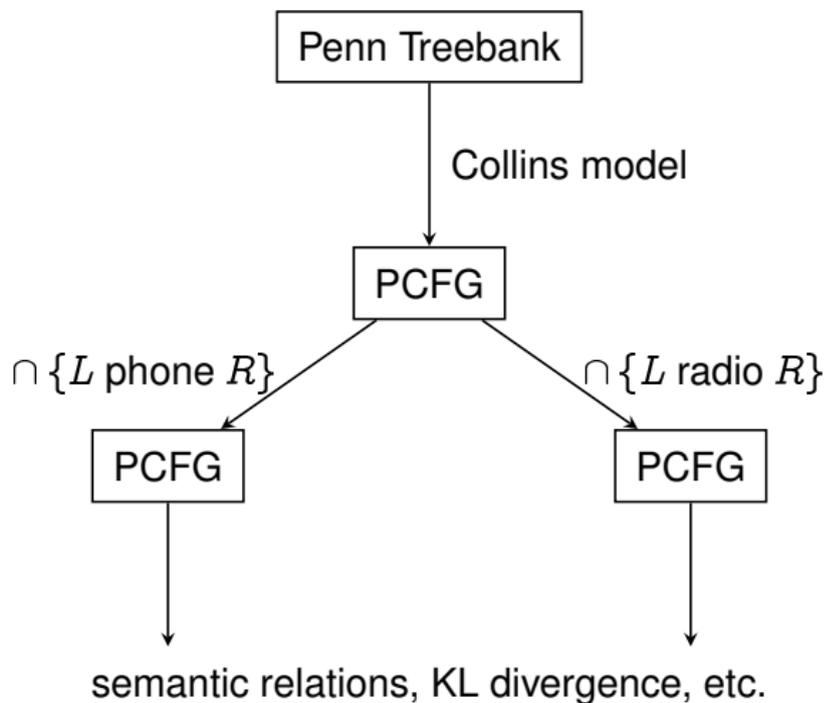
$$\llbracket S \rrbracket = \lambda_C. \frac{\Pr(C[S])}{\sum_{C'} \Pr(C'[S])}$$

$$\llbracket \text{red army} \rrbracket = \lambda(L, R). \frac{\Pr(L \text{ red army } R)}{\sum_{(L', R')} \Pr(L' \text{ red army } R')}$$

$$\llbracket \text{red } S \rrbracket = \lambda(L, R). \frac{\llbracket S \rrbracket(L \text{ red}, R)}{\sum_{(L', R')} \llbracket S \rrbracket(L' \text{ red}, R')}$$

Probabilities from any model: bag of words, Markov, PCFG...
Pass the buck.

From Penn Treebank to distributional semantics

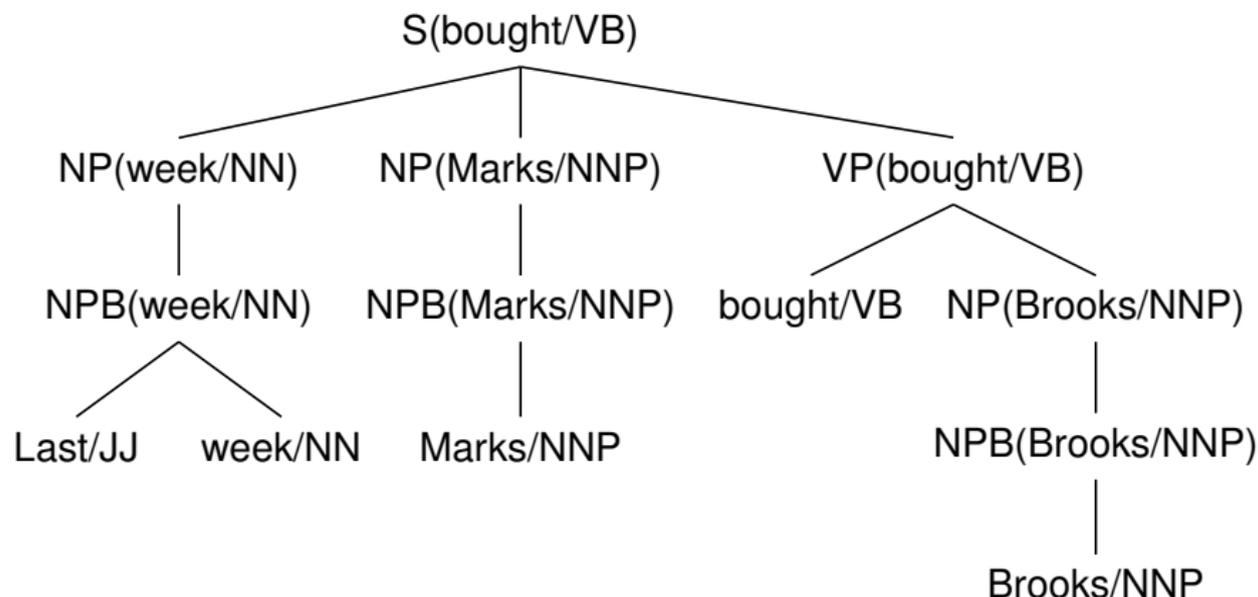


Collins model

Lexicalized PCFG for parsing (1997)

Not for generation (Post & Gildea 2008)

Bikel (2004) exegesis

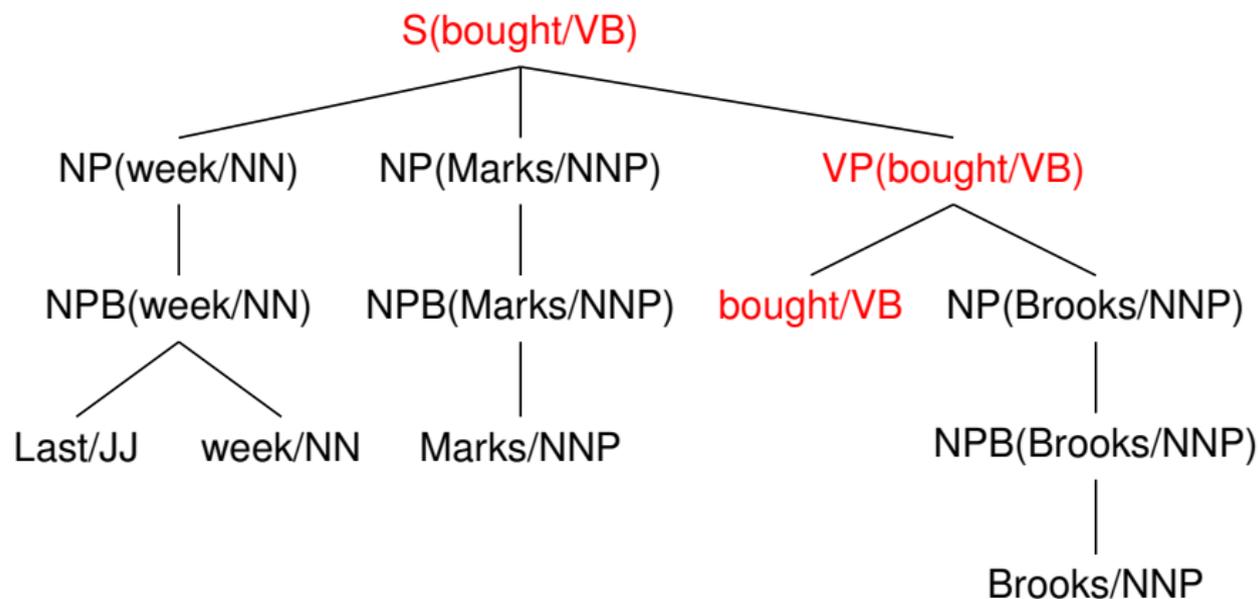


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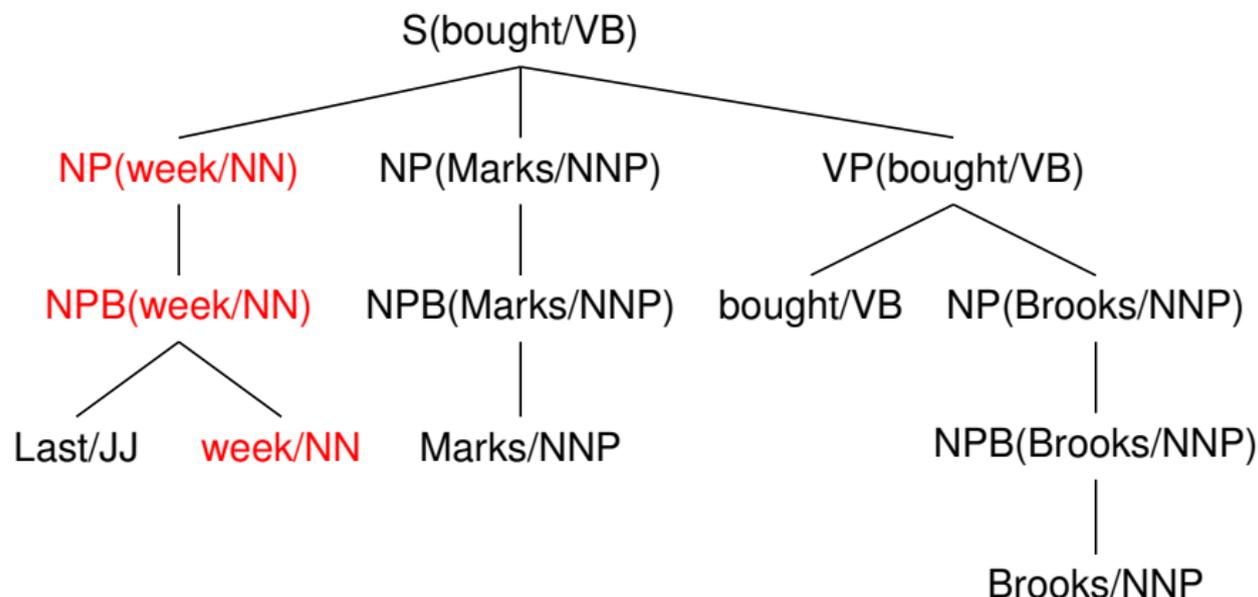


Collins model

Lexicalized PCFG for parsing (1997)

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Bikel (2004) exegesis



Summary statistics

Standard English training set: Wall Street Journal §§02–21

- ▶ 39 832 sentences
- ▶ 950 028 word tokens
 - 44 113 unique words
 - 10 437 unique words that occur 6+ times
- ▶ 28 basic nonterminal labels
 - 42 parts of speech

Tiny for a corpus today.

Simplified Collins Model 1

- ▶ 575 936 nonterminals
 - 15 564 terminals
 - 12 611 676 rules

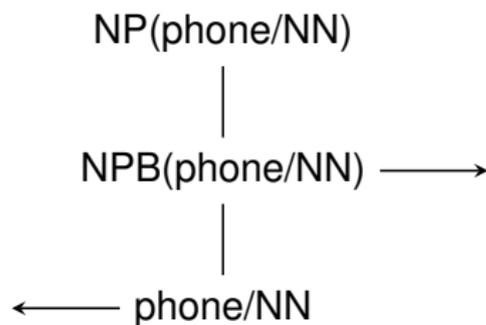
Big for a grammar today.

Pilot evaluation using BLESS data set

Concept	Relation	Relatum
phone	coord	computer
phone	coord	radio
phone	coord	stereo
phone	coord	television
phone	hyper	commodity
phone	hyper	device
phone	hyper	equipment
phone	hyper	good
phone	hyper	object
phone	hyper	system
phone	mero	cable
phone	mero	dial
phone	mero	number
phone	mero	plastic
phone	mero	wire
phone	random-n	choice
phone	random-n	clearance
phone	random-n	closing
phone	random-n	entrepreneur

Baroni and Lenci Evaluation of Semantic Spaces (2011)

Only head nouns observed in corpus:



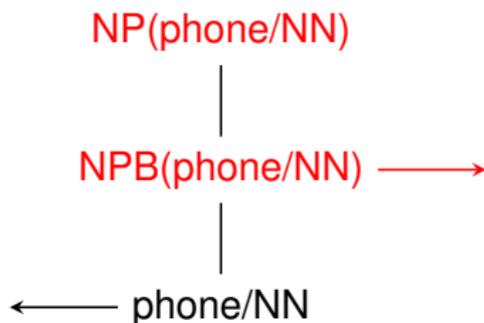
Compute KL divergences among distributions over *modifier-nonterminal sequences*

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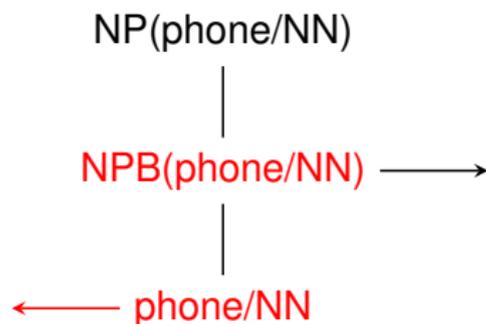
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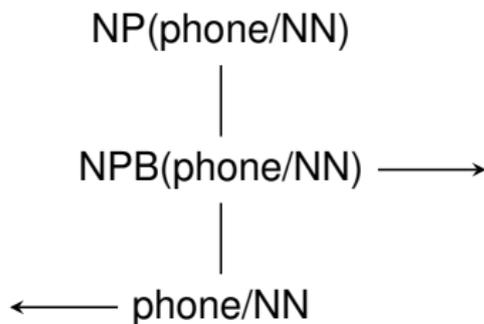
Pilot evaluation using BLESS data set

38 Concept Relation **687 Relatum**

phone	173 coord	computer
phone	coord	radio
phone	coord	stereo
phone	coord	television
phone	125 hyper	commodity
phone	hyper	device
phone	hyper	equipment
phone	hyper	good
phone	hyper	object
phone	hyper	system
phone	490 mero	cable
phone	mero	dial
phone	mero	number
phone	mero	plastic
phone	mero	wire
phone	561 random-n	choice
phone	random-n	clearance
phone	random-n	closing

Baroni and Lenci Evaluation of Semantic Spaces (2011)

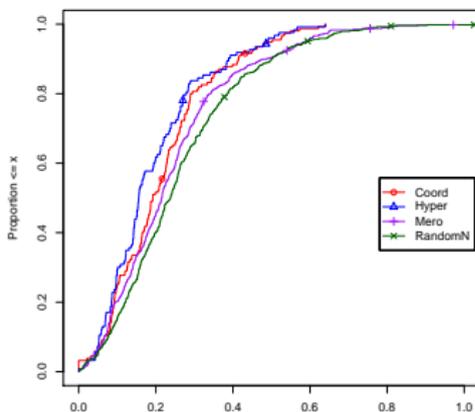
Only head nouns observed in corpus:



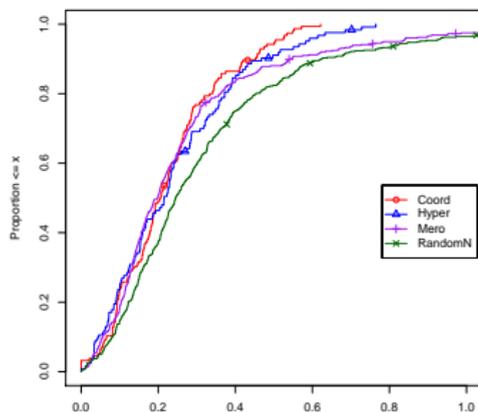
Compute KL divergences among distributions over *modifier-nonterminal sequences*

$D_{KL}(\text{Concept} \parallel \text{Relatum})$ $D_{KL}(\text{Relatum} \parallel \text{Concept})$

NP
|
NPB →

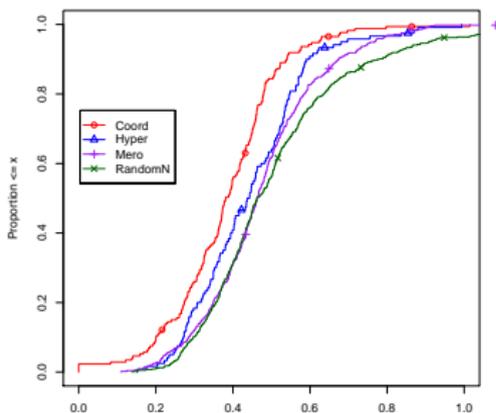


$r_{np_npb_KL}$ by Relation (Kruskal-Wallis rank sum test $p=1.73002e-05$)
n:1288 m:61

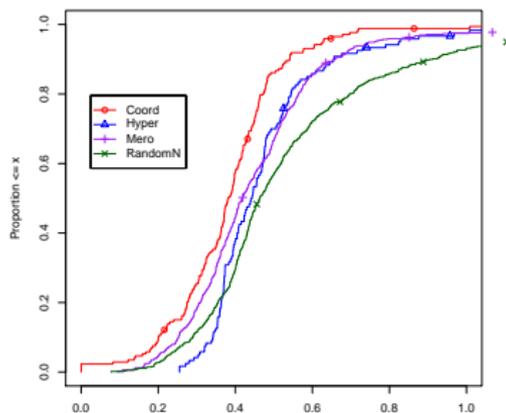


$r_{np_npb_LK}$ by Relation (Kruskal-Wallis rank sum test $p=5.88196e-06$)
n:1288 m:61

NPB
|
← NNS



l_{npb_KL} by Relation (Kruskal-Wallis rank sum test $p=2.54141e-13$)
n:1340 m:9

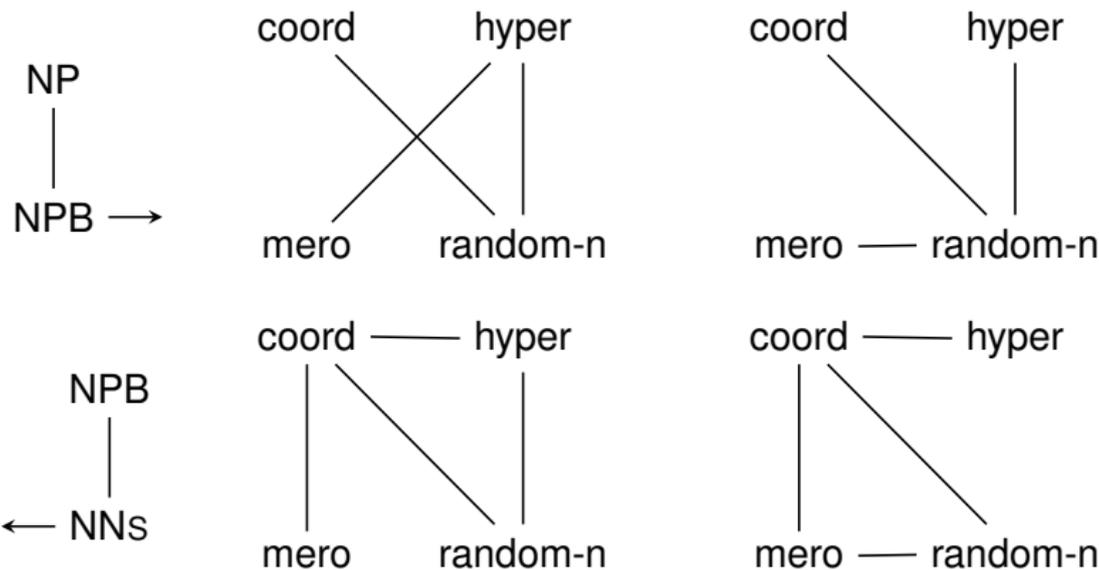


l_{npb_LK} by Relation (Kruskal-Wallis rank sum test $p=1.0453e-15$)
n:1340 m:9

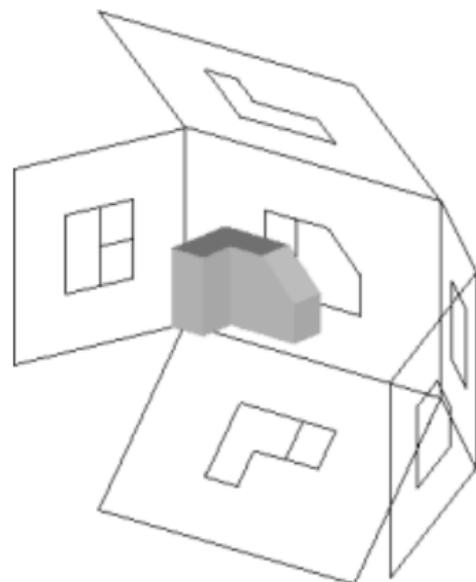
Mann-Whitney-Wilcoxon rank sum test

Edges indicate $p < .01$

$D_{KL}(\text{Concept} \parallel \text{Relatum})$ $D_{KL}(\text{Relatum} \parallel \text{Concept})$



Summary



Generics about kinds as
topological spaces

- ▶ System 1 reasoning
in discrete space
is System 2 reasoning

Distributional semantics from
language models

- ▶ Estimate felicity *in context*
from observed use